

## Note #1 on Chapter 11 of [Manber]: An NP-Completeness Proof

This note concerns the NP-completeness proof of the *dominating set problem* in Manber's book. The main purpose is to make clearer certain conditions that are omitted or implicitly assumed in the book. With the proof as an example, we also wish to clarify how the definition of polynomial-time reduction is followed in a typical NP-completeness proof. We start with the problem statement; other related definitions are appended at the end of this note.

**The Dominating Set Problem:** Given an undirected graph  $G = (V, E)$  and an integer  $k$ , determine whether  $G$  has a dominating set containing  $\leq k$  vertices. (A *dominating set*  $D$  of  $G$  is a subset of  $V$  such that every vertex of  $G$  is either in  $D$  or is adjacent to some vertex in  $D$ .)

**Theorem.** The dominating set problem is NP-complete.

*Proof.* The problem is obviously in NP, as we can guess a set of vertices and check in polynomial time whether the set is of size  $\leq k$  and is indeed a dominating set of the given graph  $G$ . To prove that it is NP-hard, we demonstrate a polynomial-time reduction from the vertex-cover problem, which is known to be NP-hard. An input  $(G_1 = (V_1, E_1), k_1)$ , which is a pair of a graph and an integer, to the vertex cover problem can be converted to an input  $(G_2 = (V_2, E_2), k_2)$  to the dominating set problem in the following manner:

To obtain  $G_2$ , we first remove all isolated vertices (which are not connected to any other vertex) from  $V_1$ . We then add, for each edge  $\{u, v\}$  in  $E$ , a vertex  $uv$  and two edges  $\{u, uv\}$  and  $\{uv, v\}$ . In other words, we transform every edge into a triangle. Finally, we make  $k_2$  simply equal to  $k_1$ . This conversion apparently can be done by a deterministic algorithm in polynomial time.

We need to show that  $G_1$  has a vertex cover of size  $\leq k_1$  if and only if  $G_2$  has a dominating set of size  $\leq k_2$ . But before doing so, we deviate to make a contrast with the definition of polynomial-time reduction (which can be found in the appendix). The input spaces  $U_{vc}$  and  $U_{ds}$  of the two problems are the same, namely the set of all possible pairs of a graph and an integer. The language  $L_{vc}$  of the vertex cover problem is the set of all  $(G, k)$  such that  $G$  has a vertex cover of size  $\leq k$ , while the language  $L_{ds}$  of the dominating set problem is the set of all  $(G, k)$  such that  $G$  has a dominating set of size  $\leq k$ . The proof obligation " $G_1$  has a vertex cover of size  $\leq k_1$  if and only if  $G_2$  has a dominating set of size  $\leq k_2$ " is derived from the statement " $(G_1, k_1) \in L_{vc}$  iff  $(G_2, k_2) \in L_{ds}$ ". (End of Deviation)

The "only if" part: Suppose  $G_1$  has a vertex cover  $C$  of size  $\leq k_1$ . Remove all isolated vertices in  $C$  to obtain another vertex cover  $C'$  of  $G_1$  (isolated vertices are not usual for covering an edge).

$C'$  is also a subset of  $V_2$  and  $|C'| \leq |C| \leq k_1 = k_2$ . We claim that  $C'$  is a dominating set of  $G_2$ . Every vertex  $u$  in  $V_2$  that comes from  $V_1$  is an end vertex of some edge  $\{u, v\} \in E_1$ . Since  $\{u, v\}$  is covered by  $C'$ , either  $u$  or  $v$  must be in  $C'$ , implying that  $u$  is dominated by  $C'$ , i.e.,  $u$  is either in  $C'$  or adjacent to some vertex (namely  $v$ ) in  $C'$ . Every new vertex  $uv$  that was added for edge  $\{u, v\}$  is adjacent to both  $u$  and  $v$  and is also dominated, as again one of  $u$  and  $v$  must be in  $C'$ .

The “if” part: Suppose  $G_2$  has a dominating set  $D$  of size  $\leq k_2$ .  $D$  may not be a subset of  $V_1$ , as  $D$  may contain vertices that were added in the conversion. Replace every vertex  $uv$  in  $D$ , which was added for edge  $\{u, v\}$ , by either  $u$  or  $v$  to obtain a new set  $D'$ . Since every replaced vertex is adjacent to the replacing vertex,  $D'$  remains a dominating set of  $G_2$ .  $D'$  is a subset of  $V_1$  and  $|D'| \leq |D| \leq k_2 = k_1$  ( $|D'|$  is not necessarily equal to  $|D|$ ). We claim that  $D'$  is also a vertex cover of  $G_1$ . For every edge  $\{u, v\}$  in  $E_1$ , either  $u$  or  $v$  is in  $D'$ ; otherwise, the added vertex  $uv$  in  $V_2$  corresponding to  $\{u, v\}$  would not be dominated by  $D'$ . Therefore, every edge of  $G_1$  is covered by  $D'$ .  $\square$

## Appendix

**The Vertex Cover Problem:** Given an undirected graph  $G = (V, E)$  and an integer  $k$ , determine whether  $G$  has a vertex cover containing  $\leq k$  vertices. (A *vertex cover*  $C$  of  $G$  is a subset of  $V$  such that every edge in  $G$  is incident to at least one vertex in  $C$ .)

**Polynomial-Time Reduction:** Let  $L_1$  and  $L_2$  be two languages from the input spaces  $U_1$  and  $U_2$ . We say that  $L_1$  is *polynomially reducible* to  $L_2$  if there exists a polynomial-time algorithm that converts each input  $u_1 \in U_1$  to another input  $u_2 \in U_2$  such that  $u_1 \in L_1$  if and only if  $u_2 \in L_2$ .