

# Reducibility

(Based on [Sipser 2006, 2013])

Yih-Kuen Tsay

Department of Information Management National Taiwan University

#### Introduction



- A *reduction* is a way of converting one problem into another problem in such a way that a solution to the second problem can be used to solve the first problem.
- If a problem A reduces (is reducible) to another problem B, we can use a solution to B to solve A.
- Reducibility says nothing about solving A or B alone, but only about the solvability of A in the presence of a solution to B.
- Reducibility is the primary method for proving that problems are computationally unsolvable.
- Suppose that A is reducible to B. If B is decidable, then A is decidable; equivalently, if A is undecidable, then B is undecidable.

### The Halting Problem



•  $HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on } w\}.$ 

## Theorem (5.1)

#### $HALT_{TM}$ is undecidable.

- lacktriangledown The idea is to reduce the acceptance problem  $A_{\rm TM}$  (shown to be undecidable) to  $HALT_{\rm TM}$ .
- igoplus Assume toward a contradiction that a TM R decides  $HALT_{\mathrm{TM}}$ .
- lacktriangle We could then construct a decider S for  $A_{
  m TM}$  as follows.

## The Halting Problem (cont.)



- S = "On input  $\langle M, w \rangle$ , an encoding of a TM M and a string w:
  - 1. Run TM R on input  $\langle M, w \rangle$ .
  - 2. If *R* rejects, *reject*.
  - 3. If R accepts, simulate M on w until it halts.
  - 4. If *M* has accepted, *accept*; it *M* has rejected, *reject*."

#### **Undecidable Problems**



 $igoplus E_{\mathrm{TM}} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}.$ 

# Theorem (5.2)

 $E_{\rm TM}$  is undecidable.

• Assuming that a TM R decides  $E_{\rm TM}$ , we construct a decider S for  $A_{\rm TM}$  as follows.



- S = "On input  $\langle M, w \rangle$ :
  - 1. Construct the following TM  $M_1$ .
    - $M_1 =$  "On input x:
    - 1.1 If  $x \neq w$ , reject.
    - 1.2 If x = w, run M on input w and accept if M accepts w."
  - 2. Run R on input  $\langle M_1 \rangle$ .
  - 3. If R accepts, reject; if R rejects, accept."



REGULAR<sub>TM</sub> = {⟨M⟩ | M is a TM and L(M) is a regular language}.

### Theorem (5.3)

#### $REGULAR_{TM}$ is undecidable.

Assuming that a TM R decides  $REGULAR_{TM}$ , we construct a decider S for  $A_{TM}$  as follows.



- S = "On input  $\langle M, w \rangle$ :
  - 1. Construct the following TM  $M_2$ .
    - $M_2 =$  "On input x:
    - 1.1 If x has the form  $0^n 1^n$ , accept.
    - 1.2 If x does not have this form, run M on input w and accept if M accepts w."
  - 2. Run R on input  $\langle M_2 \rangle$ .
  - 3. If R accepts, accept; if R rejects, reject."



•  $EQ_{\mathrm{TM}} = \{\langle M_1, M_2 \rangle \mid M_1 \text{ and } M_2 \text{ are TMs and } L(M_1) = L(M_2)\}.$ 

## Theorem (5.4)

#### $EQ_{\mathrm{TM}}$ is undecidable.

- $\bigcirc$  Assume that a TM R decides  $EQ_{TM}$ .
- lacktriangle We construct a decider S for  $E_{
  m TM}$  as follows.
- $S = \text{"On input } \langle M \rangle$ :
  - 1. Run R on input  $\langle M, M_1 \rangle$ , where  $M_1$  is a TM that rejects all inputs.
  - 2. If R accepts, accept; if R rejects, reject."

#### Rice's Theorem



#### **Theorem**

Any "nontrivial" property about the languages recognized by Turing machines is undecidable.

- Note 1: The theorem considers only properties that do not distinguish equivalent Turing machine descriptions.
- Note 2: A property is *nontrivial* if it is satisfied by some, but not all, Turing machine descriptions.

### **Computation Histories**



### Definition (5.5)

An accepting computation history for M on w is a sequence of configurations  $C_1, C_2, \dots, C_l$ , where

- 1.  $C_1$  is the start configuration,
- 2.  $C_l$  is an accepting configuration, and
- 3.  $C_i$  yields  $C_{i+1}$ ,  $1 \le i \le l-1$ .

A rejecting computation history for M on w is defined similarly, except that  $C_l$  is a rejecting configuration.

- Computation histories are finite sequences.
- Deterministic machines have at most one computation history on any given input.

#### **Linear Bounded Automata**



### Definition (5.6)

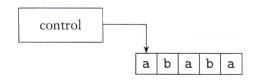
A *linear bounded automaton* (LBA) is a restricted type of Turing machine wherein the tape head is not permitted to move off the portion of the tape containing the input.

So, an LBA is a TM with a limited amount of memory. It can only solve problems requiring memory that can fit within the tape used for the input.

(Note: Using a tape alphabet larger than the input alphabet allows the available memory to be increased up to a constant factor.)

# **Linear Bounded Automata (cont.)**





# FIGURE **5.7** Schematic of a linear bounded automaton

Source: [Sipser 2006]

# Linear Bounded Automata (cont.)



Despite their memory constraint, LBAs are quite powerful.

# Lemma (5.8)

Let M be an LBA with q states and g symbols in the tape alphabet. There are exactly qng<sup>n</sup> distinct configurations of M for a tape of length n.

#### **Decidable Problems about LBAs**



 $\bigcirc$   $A_{LBA} = \{\langle M, w \rangle \mid M \text{ is an LBA that accepts } w\}.$ 

# Theorem (5.9)

#### A<sub>LBA</sub> is decidable.

- L = "On input  $\langle M, w \rangle$ , an encoding of an LBA M and a string w:
  - 1. Simulate M on input w for  $qng^n$  steps or until it halts.
  - 2. If *M* has halted, *accept* if it has accepted and *reject* if it has rejected. If *M* has not halted, *reject*."

#### **Undecidable Problems about LBAs**



•  $E_{LBA} = \{ \langle M \rangle \mid M \text{ is an LBA where } L(M) = \emptyset \}.$ 

# Theorem (5.10)

#### E<sub>LBA</sub> is undecidable.

- Assuming that a TM R decides  $E_{\rm LBA}$ , we construct a decider S for  $A_{\rm TM}$  as follows.
- $igoplus S = \text{``On input } \langle M, w \rangle$ , an encoding of a TM M and a string w:
  - 1. Construct an LBA B from  $\langle M, w \rangle$  that, on input x, decides whether x is an accepting computation history for M on w.
  - 2. Run R on input  $\langle B \rangle$ .
  - 3. If R rejects, accept; if R accepts, reject."

# Undecidable Problems about LBAs (cont.)



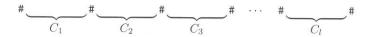


FIGURE **5.11** A possible input to *B* 

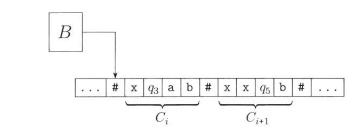
Source: [Sipser 2006]

Three conditions of an accepting computation history:

- $\bigcirc$   $C_1$  is the start configuration.
- $\bigcirc$   $C_l$  is an accepting configuration.
- $C_i$  yields  $C_{i+1}$ , for every i,  $1 \le i < I$ .

# Undecidable Problems about LBAs (cont.)





# **FIGURE 5.12** LBA *B* checking a TM computation history

Source: [Sipser 2006]

#### **Undecidable Problems about CFGs**



• ALL<sub>CFG</sub> =  $\{\langle G \rangle \mid G \text{ is a CFG and } L(G) = \Sigma^* \}$ .

## Theorem (5.13)

#### ALL<sub>CFG</sub> is undecidable.

- For a TM M and an input w, we construct a CFG G (by first constructing a PDA) to generate all strings that are not accepting computation histories for M on w.
- That is, G generates all strings if and only if M does not accept w.
- lacktriangle If  $ALL_{
  m CFG}$  were decidable, then  $A_{
  m TM}$  would be decidable.

# Undecidable Problems about CFGs (cont.)



The PDA for recognizing computation histories that are not accepting works as follows.

The input is regarded as a computation history of the form:

$$\#C_1\#C_2^R\#C_3\#C_4^R\#\cdots\#C_I\#$$

where  $C_i^R$  denotes the reverse of  $C_i$ .

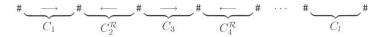
- The PDA nondeterministically chooses to check if one of the following conditions holds for the input:

  - C<sub>I</sub> is not an accepting configuration.
  - %  $C_i$  does not yield  $C_{i+1}$ , for some  $i, 1 \le i < I$ .
- It also accepts an input that is not in the proper form of a computation history.

20 / 36

# Undecidable Problems about CFGs (cont.)





#### FIGURE 5.14

Every other configuration written in reverse order

Source: [Sipser 2006]

### The Post Correspondence Problem

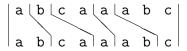


Consider a collection of dominos such as follows:

$$\left\{ \left[ \frac{b}{ca} \right], \left[ \frac{a}{ab} \right], \left[ \frac{ca}{a} \right], \left[ \frac{abc}{c} \right] \right\}$$

• A *match* is a list of these dominos (repetitions permitted) where the string of symbols on the top is the same as that on the bottom. Below is a match:

$$\left[\begin{array}{c} a \\ \overline{ab} \end{array}\right] \left[\begin{array}{c} b \\ \overline{ca} \end{array}\right] \left[\begin{array}{c} a \\ \overline{a} \end{array}\right] \left[\begin{array}{c} a \\ \overline{ab} \end{array}\right] \left[\begin{array}{c} abc \\ \overline{c} \end{array}\right]$$



# The Post Correspondence Problem (cont.)



- The Post correspondence problem (PCP) is to determine whether a collection of dominos has a match.
- More formally, an instance of the PCP is a collection of dominos:

$$P = \left\{ \left[ \frac{t_1}{b_1} \right], \left[ \frac{t_2}{b_2} \right], \cdots, \left[ \frac{t_k}{b_k} \right] \right\}$$

- A match is a sequence  $i_1, i_2, \dots, i_l$  such that  $t_{i_1}t_{i_2}\cdots t_{i_l}=b_{i_1}b_{i_2}\cdots b_{i_l}$ .
- $PCP = \{\langle P \rangle \mid P \text{ is an instance of the Post correspondence problem with a match} \}$ .

### Undecidability of the PCP



### Theorem (5.15)

#### PCP is undecidable

- lacktriangledown The proof is by reduction from  $A_{\mathrm{TM}}$  via accepting computation histories.
- From any TM M and input w we can construct an instance P where a match is an accepting computation history for M on w.
- Assume that a TM R decides PCP.
- $\bullet$  A decider S for  $A_{\rm TM}$  constructs an instance of the PCP that has a match if and only if M accepts w, as follows.



- 1. Add  $\left[\frac{\#}{\#q_0w_1w_2\cdots w_n\#}\right]$  as  $\left[\frac{t_1}{b_1}\right]$ .
- 2. For every  $a,b\in\Gamma$  and every  $q,r\in Q$  where  $q\neq q_{\mathrm{reject}}$ ,

if 
$$\delta(q, a) = (r, b, R)$$
, add  $\left[\frac{qa}{br}\right]$ .

3. For every  $a,b,c\in\Gamma$  and every  $q,r\in Q$  where  $q\neq q_{\mathrm{reject}}$ ,

if 
$$\delta(q, a) = (r, b, L)$$
, add  $\left\lfloor \frac{cqa}{rcb} \right\rfloor$ .

- 4. For every  $a \in \Gamma$ , add  $\left| \frac{a}{a} \right|$ .
- 5. Add  $\begin{bmatrix} \# \\ \# \end{bmatrix}$  and  $\begin{bmatrix} \# \\ \sqcup \# \end{bmatrix}$ .



A start configuration (by Part 1):

Suppose  $\delta(q_0,0)=(q_7,2,R)$ . With Parts 2-5, the match may be extended to:



6. For every  $a \in \Gamma$ , add  $\left[\begin{array}{c} aq_{\mathrm{accept}} \\ \hline q_{\mathrm{accept}} \end{array}\right]$  and  $\left[\begin{array}{c} q_{\mathrm{accept}} a \\ \hline q_{\mathrm{accept}} \end{array}\right]$ .

7. Add  $\left[\frac{q_{\text{accept}} \# \#}{\#}\right]$ .

$$\# [q_a \# \# ]$$
 $\# [q_a \# ]$ 



To ensure that a match starts with 
$$\left\lfloor \frac{t_1}{b_1} \right\rfloor$$
, 
$$S \text{ converts the collection } \left\{ \left\lceil \frac{t_1}{b_1} \right\rceil, \left\lceil \frac{t_2}{b_2} \right\rceil, \cdots, \left\lceil \frac{t_k}{b_k} \right\rceil \right\} \text{ to } \left\{ \left\lceil \frac{\star t_1}{\star b_1 \star} \right\rceil, \left\lceil \frac{\star t_1}{b_1 \star} \right\rceil, \left\lceil \frac{\star t_2}{b_2 \star} \right\rceil, \cdots, \left\lceil \frac{\star t_k}{b_k \star} \right\rceil, \left\lceil \frac{\star \diamondsuit}{\diamondsuit} \right\rceil \right\}$$

where

$$\begin{array}{rcl}
\star u & = & *u_1 * u_2 * u_3 * \cdots * u_n \\
u \star & = & u_1 * u_2 * u_3 * \cdots * u_n * \\
\star u \star & = & *u_1 * u_2 * u_3 * \cdots * u_n *
\end{array}$$

### **Computable Functions**



♠ A Turing machine computes a function by starting with the input to the function on the tape and halting with the output of the function on the tape.

## Definition (5.17)

A function  $f: \Sigma^* \longrightarrow \Sigma^*$  is a **computable function** if some Turing machine M, on every input w, halts with just f(w) on its tape.

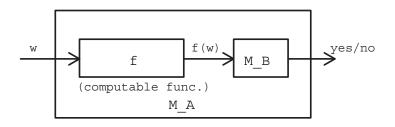
- For example, all usual arithmetic operations on integers are computable functions.
- Computable functions may be transformations of machine descriptions.

# Mapping (Many-One) Reducibility



### Definition (5.20)

Language A is **mapping reducible** (many-one reducible) to language B, written  $A \leq_m B$ , if there is a computable function  $f: \Sigma^* \longrightarrow \Sigma^*$ , where for every  $w, w \in A \iff f(w) \in B$ .



This provides a way to convert questions about membership testing in A to membership testing in B.

# Mapping (Many-One) Reducibility (cont.)



31 / 36

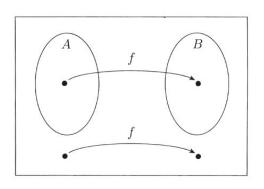
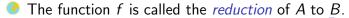


FIGURE **5.21** Function f reducing A to B

Source: [Sipser 2006]



### Reducibility and Decidability



### Theorem (5.22)

If  $A \leq_m B$  and B is decidable, then A is decidable.

- ◆ Let M be a decider for B and f a reduction from A to B. A decider N for A works as follows.
- $\bigcirc$  N = "On input w:
  - 1. Compute f(w).
  - 2. Run M on input f(w) and output whatever M outputs."

# Corollary (5.23)

If  $A \leq_m B$  and A is undecidable, then B is undecidable.

# Reducibility and Decidability (cont.)



#### **Theorem**

#### $HALT_{TM}$ is undecidable.

• We show that  $A_{\rm TM} \leq_m HALT_{\rm TM}$ , i.e., a computable function f exists (as defined by F below) such that

$$\langle M, w \rangle \in A_{\mathrm{TM}} \iff f(\langle M, w \rangle) \in HALT_{\mathrm{TM}}.$$

- $\bigcirc$   $F = \text{``On input } \langle M, w \rangle$ :
  - 1. Construct the following machine M'.
    - M' = "On input x:
      - 1.1 Run *M* on *x*.
      - 1.2 If *M* accepts, *accept*.
      - 1.3 If *M* rejects, enter a loop.
  - 2. Output  $\langle M', w \rangle$ ."

# Reducibility and Recognizability



### Theorem (5.28)

If  $A \leq_m B$  and B is Turing-recognizable, then A is Turing-recognizable.

# Corollary (5.29)

If  $A \leq_m B$  and A is not Turing-recognizable, then B is not Turing-recognizable.

### Corollary

If  $A \leq_m B$  (i.e.,  $\overline{A} \leq_m \overline{B}$ ) and A is not co-Turing-recognizable, then B is not co-Turing-recognizable.

Note: "A is not co-Turing-recognizable" is the same as "A is not Turing-recognizable". 4 D > 4 D > 4 E > 4 E > E 9 Q P

# Reducibility and Recognizability (cont.)



### Theorem (5.30 Part One)

 $EQ_{TM}$  is not Turing-recognizable.

- $igoplus ext{We show that } A_{ ext{TM}} ext{ reduces to } \overline{EQ_{ ext{TM}}}, ext{ i.e., } \overline{A_{ ext{TM}}} ext{ reduces to } EQ_{ ext{TM}}.$
- lacktriangledown Since  $\overline{A_{\mathrm{TM}}}$  is not Turing-recognizable,  $EQ_{\mathrm{TM}}$  is not Turing-recognizable.
- $\bullet F = \text{``On input } \langle M, w \rangle$ :
  - Construct the following two machines M<sub>1</sub> and M<sub>2</sub>.
     M1 = "On any input: reject."
     M2 = "On any input: Run M on w. If it accepts, accept."
  - 2. Output  $\langle M_1, M_2 \rangle$ ."

# Reducibility and Recognizability (cont.)



### Theorem (5.30 Part Two)

 $EQ_{\rm TM}$  is not co-Turing-recognizable.

- $\bullet$  We show that  $A_{\rm TM}$  reduces to  $EQ_{\rm TM}$ .
- Since  $A_{\rm TM}$  is not co-Turing-recognizable,  $EQ_{\rm TM}$  is not co-Turing-recognizable.
- $G = \text{"On input } \langle M, w \rangle$ :
  - 1. Construct the following two machines  $M_1$  and  $M_2$ .
    - M1 = "On any input: accept."
    - M2 = "On any input: Run M on w. If it accepts, accept."
  - 2. Output  $\langle M_1, M_2 \rangle$ ."