# Algorithms 2014: String Processing

(Based on [Manber 1989])

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## 1 Data Compression

## **Data Compression**

**Problem 1.** Given a text (a sequence of characters), find an encoding for the characters that satisfies the prefix constraint and that minimizes the total number of bits needed to encode the text.

The *prefix constraint* states that the prefixes of an encoding of one character must not be equal to a complete encoding of another character.

Denote the characters by  $c_1, c_2, \dots, c_n$  and their frequencies by  $f_1, f_2, \dots, f_n$ . Given an encoding E in which a bit string  $s_i$  represents  $c_i$ , the length (number of bits) of the text encoded by using E is  $\sum_{i=1}^{n} |s_i| \cdot f_i$ .

#### A Code Tree

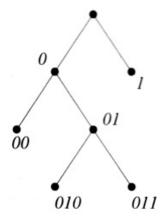


Figure 6.17 The tree representation of encoding.

Source: [Manber 1989].

## A Huffman Tree

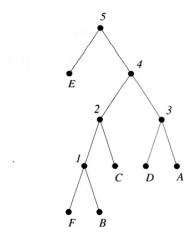


Figure 6.19 The Huffman tree for example 6.1.

Source: [Manber 1989].

## **Huffman Encoding**

```
Algorithm Huffman_Encoding (S, f);

insert all characters into a heap H

according to their frequencies;

while H not empty do

if H contains only one character X then

make X the root of T

else

delete X and Y with lowest frequencies;

from H;

create Z with a frequency equal to the

sum of the frequencies of X and Y;

insert Z into H;

make X and Y children of Z in T
```

## 2 String Matching

## **String Matching**

**Problem 2.** Given two strings  $A (= a_1 a_2 \cdots a_n)$  and  $B (= b_1 b_2 \cdots b_m)$ , find the first occurrence (if any) of B in A. In other words, find the smallest k such that, for all i,  $1 \le i \le m$ , we have  $a_{k-1+i} = b_i$ .

A substring of a string A is a consecutive sequence of characters  $a_i a_{i+1} \cdots a_j$  from A.

## Straightforward String Matching

```
A = xyxxyxyxyxyxyxyxyxxx. B = xyxyyxyxyxx.
    1 \quad 2 \quad 3 \quad 4 \quad 5 \quad 6 \quad 7 \quad 8 \quad 9 \quad 10 \ 11 \ 12 \ 13 \ 14 \ 15 \ 16 \ 17 \ 18 \ 19 \ 20 \ 21 \ 22 \ 23
    1: x y x y \cdot \cdot \cdot
2:
         x y \cdot \cdot \cdot
3:
            x y x y y \cdot \cdot
5:
6:
                 x y x y y x y x y x x
7:
8:
                       x y x \cdot \cdot
9:
                             x \cdot \cdot \cdot
10:
11:
                               x y x y y \cdot \cdot
                              x ....
12:
13:
                                    x y x y y x y x y x x
```

Figure 6.20 An example of a straightforward string matching.

Source: [Manber 1989].

## Matching Against Itself

Figure 6.21 Matching the pattern against itself.

Source: [Manber 1989].

## The Values of next



Figure 6.22 The values of *next*.

Source: [Manber 1989].

## The KMP Algorithm

```
Algorithm String_Match (A, n, B, m); begin j := 1; i := 1; Start := 0; while Start = 0 and i \le n do if B[j] = A[i] then j := j + 1; i := i + 1 else j := next[j] + 1; if j = 0 then j := 1; i := i + 1; if j = m + 1 then Start := i - m end
```

## The KMP Algorithm (cont.)

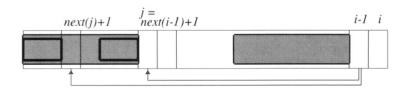


Figure 6.24 Computing next(i).

Source: [Manber 1989].

## The KMP Algorithm (cont.)

```
Algorithm Compute_Next (B,m);
begin next[1] := -1; \ next[2] := 0; for i := 3 to m do j := next[i-1] + 1; while B[i-1] \neq B[j] and j > 0 do j := next[j] + 1; next[i] := j end
```

## 3 String Editing

## String Editing

**Problem 3.** Given two strings  $A = (a_1 a_2 \cdots a_n)$  and  $B = (b_1 b_2 \cdots b_m)$ , find the minimum number of changes required to change A character by character such that it becomes equal to B.

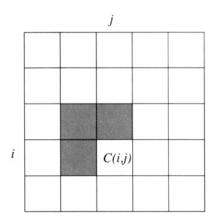
Three types of changes (or edit steps) allowed: (1) insert, (2) delete, and (3) replace.

## String Editing (cont.)

Let C(i,j) denote the minimum cost of changing A(i) to B(j), where  $A(i) = a_1 a_2 \cdots a_i$  and  $B(j) = b_1 b_2 \cdots b_j$ .

$$C(i,j) = \min \left\{ \begin{array}{ll} C(i-1,j) + 1 & \text{(deleting } a_i) \\ C(i,j-1) + 1 & \text{(inserting } b_j) \\ C(i-1,j-1) + 1 & (a_i \to b_j) \\ C(i-1,j-1) & (a_i = b_j) \end{array} \right.$$

## String Editing (cont.)



**Figure 6.26** The dependencies of C(i, j).

Source: [Manber 1989].

## String Editing (cont.)

```
 \begin{aligned} \textbf{Algorithm Minimum\_Edit\_Distance} & \ (A, n, B, m); \\ \textbf{for} & \ i := 0 \ \textbf{to} \ n \ \textbf{do} \ C[i, 0] := i; \\ \textbf{for} & \ j := 1 \ \textbf{to} \ m \ \textbf{do} \ C[0, j] := j; \\ \textbf{for} & \ i := 1 \ \textbf{to} \ n \ \textbf{do} \\ \textbf{for} & \ j := 1 \ \textbf{to} \ m \ \textbf{do} \\ & \ x := C[i-1, j] + 1; \\ & \ y := C[i, j-1] + 1; \\ & \ \textbf{if} \ a_i = b_j \ \textbf{then} \\ & \ z := C[i-1, j-1] \\ \textbf{else} \\ & \ z := C[i-1, j-1] + 1; \end{aligned}
```

C[i,j] := min(x,y,z)