## Homework 3

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(3.4) Below is a theorem from Manber's book:

For all constants c > 0 and a > 1, and for all monotonically increasing functions f(n), we have  $(f(n))^c = o(a^{f(n)})$ .

Prove, by using the above theorem, that for all constants a, b > 0,  $(\log_2 n)^a = o(n^b)$ .

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(f(n))^c = o(a^{f(n)})

Use \log_2 n to replace f(n).

\{\log_2 n \text{ is a monotonically increasing function}\}

Use a to replace c. \{a>0\}

Use 2^b to replace a. \{b>0,2^b>1\}

(\log_2 n)^a = o((2^b)^{\log_2 n})

\Rightarrow (\log_2 n)^a = o(n^b \log_2 2)

\Rightarrow (\log_2 n)^a = o(n^b)
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(3.5) For each of the following pairs of functions, say whether f(n) = O(g(n)) and/or  $f(n) = \Omega(g(n))$ . Justify your answers.

(big-o) 
$$f(n) = O(g(n))$$
:

 $\exists c$ , you can find an N such that  $\forall n \geq N$ ,  $f(n) \leq c \cdot g(n)$  holds.

(little-o) f(n) = o(g(n)):

 $\forall c$ , you can find an N such that  $\forall n \geq N$ ,  $f(n) \leq c \cdot g(n)$  holds.

So we can use  $\lim_{n\to\infty}\frac{f(n)}{g(n)}=0$  to verify f(n)=o(g(n)).

Because  $\lim_{n\to\infty}\frac{f(n)}{g(n)}=0$  means that the growth of g(n) is obviously larger than the one of f(n), which means that if n is large enough,  $f(n)\leq c\cdot g(n)$  must hold, the constant c cannot influence this inequality.

(big-o) 
$$f(n) = O(g(n))$$
:

 $\exists c$ , you can find an N such that  $\forall n \geq N$ ,  $f(n) \leq c \cdot g(n)$  holds.

(little-o) 
$$f(n) = o(g(n))$$
:

 $\forall c$ , you can find an N such that  $\forall n \geq N$ ,  $f(n) \leq c \cdot g(n)$  holds.

By definition, we can see that f(n) = o(g(n)) implies f(n) = O(g(n)).

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(big-omega) f(n) = \Omega(g(n)):
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 $\exists c$ , you can find an N such that  $\forall n \geq N$ ,  $f(n) \geq c \cdot g(n)$  holds.

(little-omega)  $f(n) = \omega(g(n))$ :

 $\forall c$ , you can find an N such that  $\forall n \geq N$ ,  $f(n) \geq c \cdot g(n)$  holds.

So similarly, we can use  $\lim_{n\to\infty}\frac{g(n)}{f(n)}=0$  to verify  $f(n)=\omega(g(n))$ .

We can also find that  $[f(n) = \omega(g(n))] = [g(n) = o(f(n))]$  because they are all  $\lim_{n\to\infty} \frac{g(n)}{f(n)} = 0$ .

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(big-omega) f(n) = \Omega(g(n)):
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 $\exists c$ , you can find an N such that  $\forall n \geq N$ ,  $f(n) \geq c \cdot g(n)$  holds.

(little-omega)  $f(n) = \omega(g(n))$ :

 $\forall c$ , you can find an N such that  $\forall n \geq N$ ,  $f(n) \geq c \cdot g(n)$  holds.

By definition, we can see that  $f(n) = \omega(g(n))$  implies  $f(n) = \Omega(g(n))$ .

(little-o) 
$$f(n) = o(g(n))$$
:  $\lim_{n\to\infty} \frac{f(n)}{g(n)} = 0$ .

(big-omega)  $f(n) = \Omega(g(n))$ :  $\exists c$ , you can find an N such that  $\forall n \geq N$ ,  $f(n) \geq c \cdot g(n)$  holds.

By definition, we can see that f(n) = o(g(n)) implies  $f(n) \neq \Omega(g(n))$  because the growth of g(n) is obviously larger than the one of f(n), which means that if n is large enough,  $c \cdot g(n)$  must be larger than f(n), and  $f(n) \geq c \cdot g(n)$  will never hold at that moment, no matter how small the constant c is.

Similarly,  $f(n) = \omega(g(n))$  implies  $f(n) \neq O(g(n))$ .

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# Question2(a)

$$\begin{split} &f(n)=(\log n)^{\log n},\ g(n)=\frac{n}{\log n}\\ &\text{[Claim]}\ f(n)=\Omega(g(n))\wedge f(n)\neq O(g(n))\\ &\text{To prove the claim, we just need to prove that }g(n)=o(f(n)),\\ &\text{which is, }\lim_{n\to\infty}\frac{g(n)}{f(n)}=0 \end{split}$$

Because g(n) = o(f(n)) implies  $f(n) = \Omega(g(n))$ , and g(n) = o(f(n)) implies  $f(n) \neq O(g(n))$ .



# Question2(a)

$$\lim_{n \to \infty} \frac{g(n)}{f(n)} = \lim_{n \to \infty} \frac{\frac{n}{\log n}}{(\log n)^{\log n}}$$

$$= \lim_{n \to \infty} \frac{n}{\log n \cdot (\log n)^{\log n}}$$
{use L'Hôpital's rule}
$$= \lim_{n \to \infty} \frac{1}{\frac{(\log n)^{\log n} \cdot ((\ln 2)(\log n) \log(\log n) + (\log n) + 1)}{n(\ln 2)}}$$

$$= 0$$

Hence,  $f(n) = \Omega(g(n))$  and  $f(n) \neq O(g(n))$ .

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# Question2(a)

$$f(n) = (\log n)^{\log n}, g(n) = \frac{n}{\log n}$$

$$Claim \ f(n) = \Omega(g(n)), \ \exists \ c \ \exists \ N \ \forall \ n \ge N, \ let \ c = 1$$

$$(logn)^{logn} \ge c \cdot (\frac{n}{logn})$$

$$\xleftarrow{logn \ ee x \ \text{th}} x^{x} \geq c(\frac{2^{x}}{x})$$

$$\stackrel{igotimes_{igoti$$

When 
$$n>2,c=1$$

$$f(n)=\Omega(g(n))$$



# Question2(b)

$$f(n)=n^32^n,\ g(n)=3^n$$
 [Claim]  $f(n)=O(g(n))\wedge f(n)\neq \Omega(g(n))$  To prove the claim, we just need to prove that  $f(n)=o(g(n)),$  which is,  $\lim_{n\to\infty}\frac{f(n)}{g(n)}=0$  Because  $f(n)=o(g(n))$  implies  $f(n)=O(g(n)),$  and

f(n) = o(g(n)) implies  $f(n) \neq \Omega(g(n))$ .

# Question2(b)

$$\begin{split} \lim_{n \to \infty} \frac{f(n)}{g(n)} &= \lim_{n \to \infty} \frac{n^3 2^n}{3^n} = \lim_{n \to \infty} \frac{n^3}{\left(\frac{3}{2}\right)^n} \\ & \{ \text{use L'Hôpital's rule 3 times} \} \\ &= \lim_{n \to \infty} \frac{3n^2}{\left(\frac{3}{2}\right)^n (\ln \frac{3}{2})} \\ &= \lim_{n \to \infty} \frac{6n}{\left(\frac{3}{2}\right)^n (\ln \frac{3}{2})^2} \\ &= \lim_{n \to \infty} \frac{6}{\left(\frac{3}{2}\right)^n (\ln \frac{3}{2})^3} \\ &= 0 \end{split}$$

Hence, f(n) = O(g(n)) and  $f(n) \neq \Omega(g(n))$ .

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Suppose f(n) is a strictly increasing function, i.e., if  $n_1 < n_2$ , then  $f(n_1) < f(n_2)$ , and f(n) = O(g(n)). Is it true that  $\log f(n) = O(\log g(n))$ ? Please justify your answer. What about  $2^{f(n)} = O(2^{g(n)})$ ? What if f(n) is constant?

這一題有四個問題

每個問題都要解釋加回答

嚴格遞增兩題 15 分 constant 兩題 5 分

# Question3(1)

$$log(f(n)) = O(log(g(n)))$$
 根據  $f(n) = O(g(n))$  的定義可得: there exist constants c and N such that, for all  $n \geq N$ ,  $f(n) \leq c \times g(n)$  {對於  $g(n) \leq cf(n)$  兩邊同取  $log$ }  $log(f(n)) \leq log(c) + log(g(n))$   $\frac{log(f(n))}{log(g(n))} \leq \frac{log(c) + log(g(n))}{log(g(n))}$   $\frac{log(f(n))}{log(g(n))} - 1 \leq \frac{log(c)}{log(g(n))}$ 

# Question3(1) cont.

$$\begin{split} &\frac{\log(f(n))}{\log(g(n))} \leq \frac{\log(c)}{\log(g(n))} + 1 \\ &\log(f(n)) \leq (\frac{\log(c)}{\log(g(n))} + 1) \times \log(g(n)) \\ &\{ 根據開頭所述: \text{for all } n \geq N \} \\ &\log(f(n)) \leq (\frac{\log(c)}{\log(g(N))} + 1) \times \log(g(n)) \\ &\{ \text{set } c' = \frac{\log(c)}{\log(g(N))} + 1 \ (c' \text{ is constant}) \} \\ &\log(f(n)) \leq c' \times \log(g(n)) \\ &\log(f(n)) = O(\log(g(n))) \text{ is true.} \end{split}$$

# Question3(2)

$$2^{f(n)} = O(2^{g(n)})$$
 可以找到反例:
set  $f(n) = 2log_2(n)$  and  $g(n) = log_2(n)$  其滿足條件: $2log_2(n) = O(log_2(n))$  將其帶入式子可以得到:
 $2^{2log_2(n)} = O(2^{log_2(n)})$   $n^2 = O(n) \cdot 發生矛盾!(因為  $n^2 \neq O(n)$ ) 所以  $2^{f(n)} = O(2^{g(n)})$  is false.$ 

# Question3(3)

$$log(f(n)) = O(log(g(n)))$$
 constant case 因為  $f(n)$  為 constant,  $log(f(n))$  亦為 constant 又根據定義: there exist constants c and N such that, for all  $n \geq N$ ,  $f(n) \leq c \times g(n)$  set  $g(n) = 1$ , 代表  $log(g(n)) = 0$   $log(f(n)) \leq c \times log(g(n)) = c \times 0 = 0$  {舉例:  $log(2) \leq c \times log(g(n)) = c \times 0 = 0$  矛盾} 並沒有滿足條件 所以  $log(f(n)) = O(log(g(n)))$  is false.

# Question3(4)

$$2^{f(n)} = O(2^{g(n)})$$
 constant case

因為 f(n) 為 constant

又根據定義:there exist constants c and N such that, for all  $n \ge N$ ,  $f(n) \le c \times g(n)$ 

set 
$$g(n) = 1$$
, 代表  $2^{g(n)} = 2$ 

$$\exists c > 0$$
, s.t.  $2^{f(n)} \le c \times 2^{g(n)} = c \times 2$ 

所以 
$$2^{f(n)} = O(2^{g(n)})$$
 is true.

(3.12) Solve the following recurrence relation:

$$\begin{cases} T(1) = 1 \\ T(n) = n + \sum_{i=1}^{n-1} T(i), & n \ge 2 \end{cases}$$

$$\begin{split} T(n-1) &= (n-1) + [T(n-2) + T(n-3) + \dots] \ \dots \ \textcircled{1} \\ T(n) &= (n) + [T(n-1) + T(n-2) + T(n-3) + \dots] \dots \ \textcircled{2} \end{split}$$

② 
$$-$$
 ① : 
$$T(n)-T(n-1)=[n-(n-1)]+T(n-1)$$
$$T(n)=2T(n-1)+1$$

$$T(n)=2T(n-1)+1$$

$$=2[2T(n-2)+1]+1$$

$$=2^{2}T(n-2)+(2+1)$$

$$=2^{2}[2T(n-3)+1]+(2+1)$$

$$=2^{3}T(n-3)+(2^{2}+2+1)$$

$$\vdots$$

$$=2^{i}T(n-i)+(1+2+2^{2}+...+2^{i-1})$$

$$2^{i}$$
T(n-i)+(1+2+2<sup>2</sup>+...+2<sup>i-1</sup>)
i 以 (n-1) 代入:
$$=2^{n-1}\cdot T(1)+(1+2+...+2^{n-2})$$

$$=2^{n-1}\cdot 1+\frac{2(2^{n-1}-1)}{2}$$

$$=2^{n}-1$$

(3.18) Consider the recurrence relation

$$T(n) = 2T(n/2) + 1, T(2) = 1.$$

We try to prove that T(n) = O(n) (we limit our attention to powers of 2). We guess that  $T(n) \le cn$  for some (as yet unknown) c, and substitute cn in the expression. We have to show that  $cn \ge 2c(n/2) + 1$ . But this is clearly not true. Find the correct solution of this recurrence (you can assume that n is a power of 2), and explain why this attempt failed.

$$T(n) = 2T(n/2) + 1, T(2) = 1$$

這題可以點出題幹證明錯在哪,並修正題幹的證明 也可以直接找出 T 的通解再去解釋題幹證明錯在哪

那麼為何題目一開始的證明會出問題呢?

$$T(n) \leq cn$$

這個式子是對的,接下來依照 T(n) 的定義代換

$$2T(n/2) + 1 \le cn$$

問題就在於,得到這式子之後,題目又自動將 T(n/2) 換成 c(n/2)。但是

$$T(n/2) \le c(n/2)$$
  
  $2T(n/2) + 1 \le 2c(n/2) + 1$ 

我們無從得知 2c(n/2) + 1 與 cn 之間的大小關係,我們只知道它們都比 2T(n/2) + 1 來得大。所以題目給出的不等式是有問題的。

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那麼要怎麼修正呢?
縮緊條件・改成證明 T(n) \le cn-1
因為證明這件事就隱含 T(n) \le cn
Base case: \exists c=1 s.t. T(2)=2-1=1
Inductive: T(n)=2T(n/2)+1
By inductive hypothesis, T(n/2) \le c(n/2)-1
So 2T(n/2)+1 \le 2(c(n/2)-1)+1=cn-1
Therefore, T(n)=2T(n/2)+1 \le cn-1
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大家都知道要改證明  $T(n) \le cn-1$  但要注意的是,必須寫出 Base case。雖然題目在秀出錯誤證明時沒有提到 Base case,但如果真的沒有 Base case,那麼 T(n/2) 的存在就會在 n=2 時失去意義。 就算假設題目已知  $T(2) \le cn$ ,但並無法直接說  $T(2) \le cn-1$  也會成立。

$$T(n) = 2T(n/2) + 1, T(2) = 1$$

另一種求解方法是用其他方法證明 T(n) = O(n) · 但別忘了點出題幹錯在哪

T 滿足  $T(n) = aT(n/b) + O(n^k)$  的形式 · 其中 a = 2, b = 2, k = 0 已知這類 recurrence relations 的解為:

$$T(n) = \begin{cases} O(n^{\log_b a}) & \text{if } a > b^k \\ O(n^k \log n) & \text{if } a = b^k \\ O(n^k) & \text{if } a < b^k \end{cases}$$

$$a=2>1=b^k$$
 · 於是  $T(n)=O(n^{\log_2 2})=O(n)$ 

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也可以直接找出 T 的通式 觀察 T 的規律

$$T(2) = 1$$
 $T(4) = 2 \times 1 + 1 = 3$ 
 $T(8) = 2 \times 3 + 1 = 7$ 
 $T(16) = 2 \times 7 + 1 = 15$ 
 $\vdots$ 

猜測 T(n) = n - 1 · 並用歸納法證明 也能看出 T(n) = O(n)