

# Homework 9

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# Problem1

- (a) Run the strongly connected components algorithm on the directed graph shown in Figure 1. When traversing the graph, the algorithm should follow the given DFS numbers. Show the *High* values as computed by the algorithm in each step.
- (b) Add the edge  $(6, 8)$  to the graph and discuss the changes this makes to the execution of the algorithm.

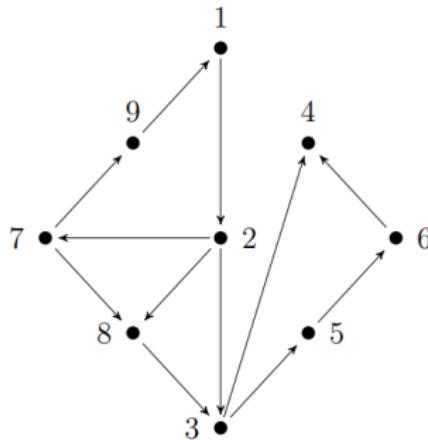


Figure 1: A directed graph with DFS numbers

## Problem1(a)

**Algorithm** STRONGLY\_CONNECTED\_COMPONENTS( $G, n$ )

**for** every vertex  $v$  of  $G$  **do**

$v.DFS\_Number := 0;$

$v.Component := 0;$

$Current\_Component := 0; DFS\_N := n;$

**while**  $v.DFS\_Number = 0$  for some  $v$  **do**

$SCC(v);$

**procedure**  $SCC(v)$

$v.DFS\_Number := DFS\_N;$

$DFS\_N := DFS\_N - 1;$

insert  $v$  into  $Stack$ ;

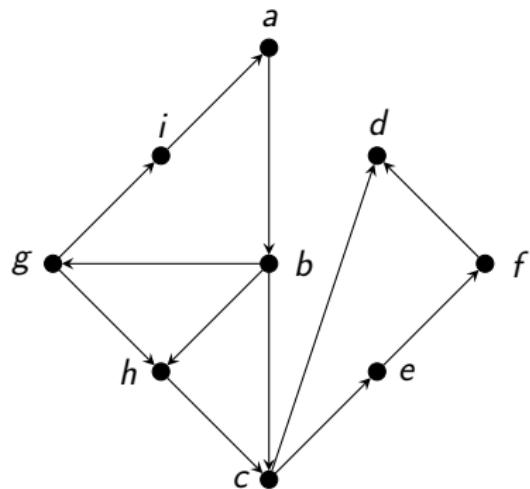
$v.High := v.DFS\_Number;$

**for** all edges  $(v, w)$  **do**

## Problem1(a)

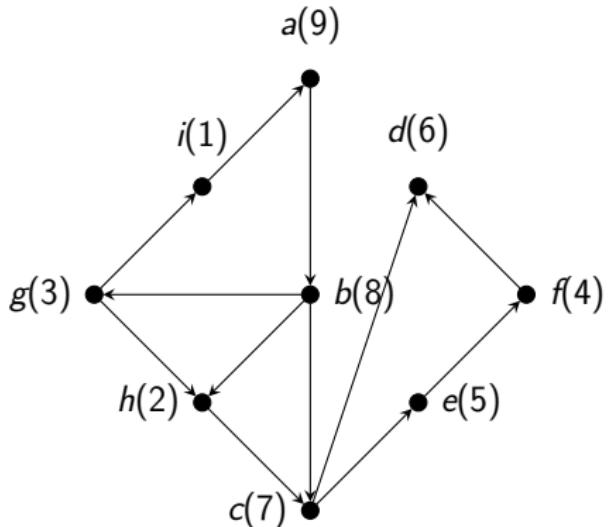
```
if  $w.DFS\_Number = 0$  then
     $SCC(w);$ 
     $v.High := \max(v.High, w.High);$ 
else if  $w.DFS\_Number > v.DFS\_Number$  and
 $w.Component = 0$  then
     $v.High := \max(v.High, w.DFS\_Number);$ 
if  $v.High = v.DFS\_Number$  then
     $Current\_Component := Current\_Component + 1;$ 
repeat
    remove  $x$  from the top of  $Stack$ ;
     $x.Component := Current\_Component;$ 
until  $x = v$ 
```

# Problem1(a)

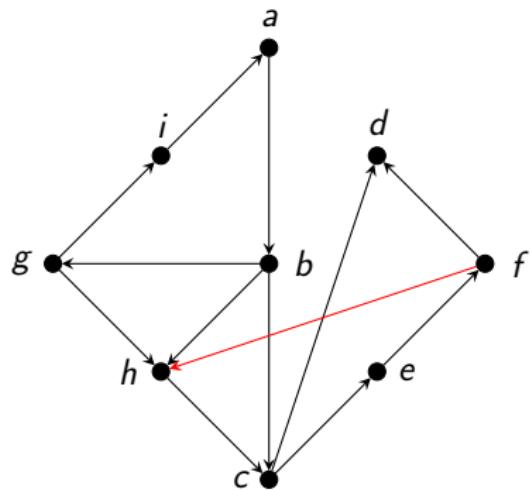


# Problem1 (a)

Vertex	a	b	c	d	e	f	g	h	i
DFS_Number	9	8	7	6	5	4	3	2	1
a	9	-	-	-	-	-	-	-	-
b	9	8	-	-	-	-	-	-	-
c	9	8	7	-	-	-	-	-	-
(d)	9	8	7	6	-	-	-	-	-
c	9	8	7	6	-	-	-	-	-
e	9	8	7	6	5	-	-	-	-
(f)	9	8	7	6	5	4	-	-	-
(e)	9	8	7	6	5	4	-	-	-
(c)	9	8	7	6	5	4	-	-	-
b	9	8	7	6	5	4	-	-	-
g	9	8	7	6	5	4	3	-	-
(h)	9	8	7	6	5	4	3	2	-
g	9	8	7	6	5	4	3	2	-
i	9	8	7	6	5	4	3	2	1
i	9	8	7	6	5	4	3	2	9
g	9	8	7	6	5	4	9	2	9
b	9	9	7	6	5	4	9	2	9
(a)	9	9	7	6	5	4	9	2	9
Component	6	6	4	1	3	2	6	5	6

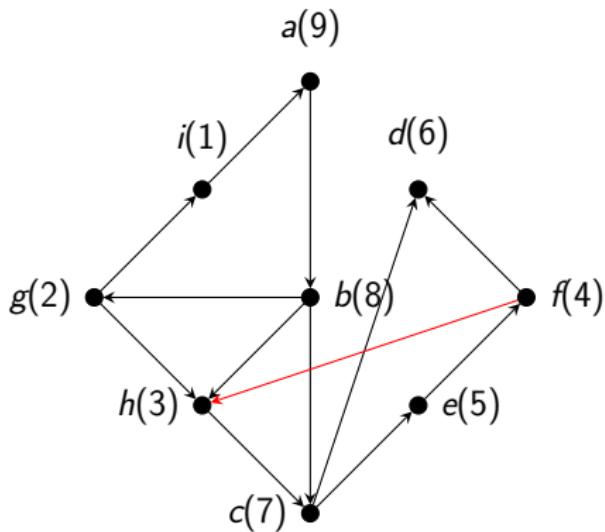


## Problem1 (b)



# Problem1 (b)

Vertex	a	b	c	d	e	f	g	h	i
DFS_Number	9	8	7	6	5	4	2	3	1
a	9	-	-	-	-	-	-	-	-
b	9	8	-	-	-	-	-	-	-
c	9	8	7	-	-	-	-	-	-
(d)	9	8	7	6	-	-	-	-	-
c	9	8	7	6	-	-	-	-	-
e	9	8	7	6	5	-	-	-	-
f	9	8	7	6	5	4	-	-	-
h	9	8	7	6	5	4	-	3	-
h	9	8	7	6	5	4	-	7	-
f	9	8	7	6	5	7	-	7	-
e	9	8	7	6	7	7	-	7	-
(c)	9	8	7	6	7	7	-	7	-
b	9	8	7	6	7	7	-	7	-
g	9	8	7	6	7	7	2	7	-
i	9	8	7	6	7	7	2	7	1
i	9	8	7	6	7	7	2	7	9
g	9	8	7	6	7	7	9	7	9
b	9	9	7	6	7	7	9	7	9
(a)	9	9	7	6	7	7	9	7	9
Component	3	3	2	1	2	2	3	2	3

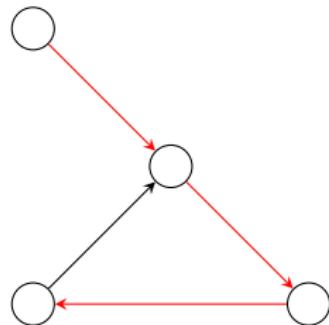


## Problem2

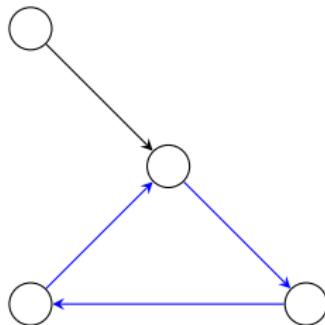
(7.88) Let  $G = (V, E)$  be a directed graph, and let  $T$  be a DFS tree of  $G$ . Prove that the intersection of the edges of  $T$  with the edges of any strongly connected component of  $G$  form a subtree of  $T$ .

## Problem2

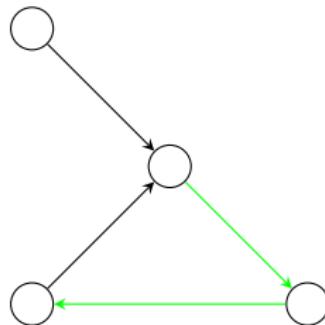
Simple example



(a) DFS tree



(b) SCC edges



(c) intersection

## Problem2

Proof by contradiction:

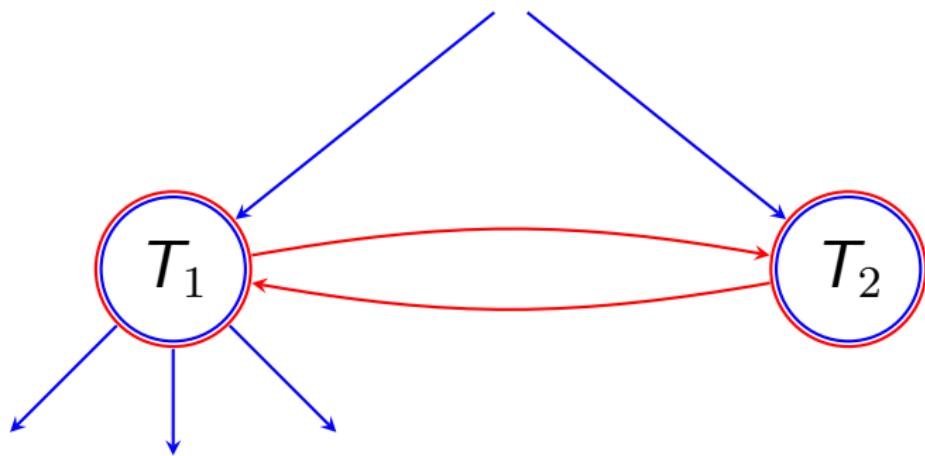
Suppose the intersection are two subtrees  $T_1$  and  $T_2$ . Because  $T_1$  and  $T_2$  are in the same strongly connected component, according to the property of SCC, there must be a path from  $T_1$  to  $T_2$  and a path from  $T_2$  to  $T_1$ .

No matter which subtree the DFS procedure reaches first, it will finally go through the path which connects  $T_1$  and  $T_2$  and visit the other subtree. Then the DFS tree  $T$  must contain that path but it clearly doesn't. Contradiction.

## Problem2

SCC

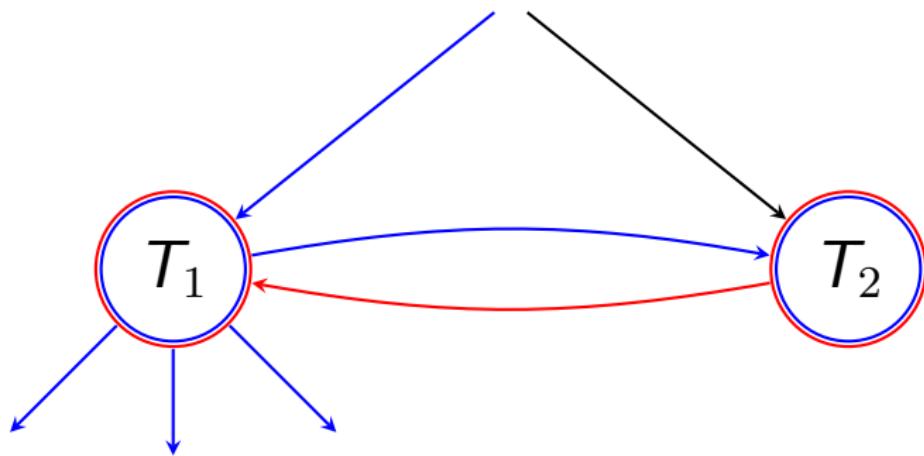
DFS tree  $T$



## Problem2

SCC

DFS tree  $T$



## Problem3

Consider the algorithm discussed in class for determining the strongly connected components of a directed graph. Is the algorithm still correct if we replace the line “ $v.\text{high} := \max(v.\text{high}, w.\text{DFS\_Number})$ ” by “ $v.\text{high} := \max(v.\text{high}, w.\text{high})$ ”? Why? Please explain.

## Problem3(cont'd)

### Algorithm

```
function STRONGLY_CONNECTED_COMPONENTS( $G, n$ )
    for every vertex  $v$  of  $G$  do
         $v.DFS\_Number := 0;$ 
         $v.Component := 0;$ 
     $Current\_Component := 0; DFS\_N := 0;$ 
    while  $v.DFS\_Number = 0$  for some  $v$  do
         $SCC(v)$ 
```

## Problem3(cont'd)

### Algorithm

```
1: procedure SCC( $v$ )
2:    $v.DFS\_Number := DFS\_N;$ 
3:    $DFS\_N := DFS\_N - 1;$ 
4:   insert v into Stack;
5:    $v.High := v.DFS\_Number;$ 
6:   for all edges ( $v, w$ ) do
7:     if  $w.DFS\_Number = 0$  then
8:        $SCC(w);$ 
9:        $v.High := \max(v.High, w.High)$ 
10:      else if  $w.DFS\_Number > v.DFS\_Number$  and
11:         $w.Component = 0$  then
12:           $v.High := \max(v.High, w.DFS\_Number)$ 
13:          if  $v.High = v.DFS\_Number$  then
14:             $CurrentComponent := CurrentComponent + 1;$ 
15:            repeat
16:              remove x from the top of Stack;
17:               $x.component := CurrentComponent$ 
18:            until  $x = v$ 
```

## Problem3(cont'd)

Still correct.

Only when line 10 is True(We look at a vertex  $w$  that we have reached before and it does not belong to any SCC yet), we can reach line 11.

At this moment, if  $w.DFS\_Number$  and  $w.High$  are the same then this case has no impact. If they are different, the only case is  $w.DFS\_Number < w.High$ , indicating that  $v$  and  $w$  are in the same SCC. Since we will finally return to the vertex that is the leader of this SCC, its  $High$  will set to  $\max(v.High, w.High)$ , which is exactly its  $DFS\_Number$ (Because the propagation of the  $High$  value of the SCC leader ).

Hence when we reach line 11, we can argue that the algorithm is still correct if we replace line 11 by “ $v.high := \max(v.high, w.high)$ ” . If you have trouble understanding this, draw a figure and trace the code!

## Problem4

Consider designing an algorithm by dynamic programming to determine the length of a longest common subsequence of two strings (sequences of letters). For example, “abbcc” is a longest common subsequence of “abcabcabc” and “aaabbbccc”, and so is “abccc”.

- (a) Formulate the solution using recurrence relations.
- (b) Present the algorithm in suitable pseudocode, based on the previous recursive formulation. What is the time complexity of your algorithm?

## Problem4

給定兩個 sequence，求出這兩個 sequence 的最長共同子序列

## Problem4

$A = A' + "x"$

$B = B' + "y"$

$LCS(A, B) = 0$  if  $A$  or  $B$  are empty

$LCS(A, B) = LCS(A', B')$  if  $x = y$ ;

## Problem4

$A = A' + "x"$

$B = B' + "y"$

$\text{LCS}(A, B) = 0$  if  $A$  or  $B$  are empty

$\text{LCS}(A, B) = \text{LCS}(A', B')$  if  $x = y$

otherwise...

$\text{LCS}(A, B) = \max(\text{LCS}(A', B), \text{LCS}(A, B'), \text{LCS}(A', B'))$  if  $x \neq y$ ;

## Problem4

開一個二維陣列

每格代表兩個子字串的最長共同子序列長度

-	a	b	c	a	b	c	a	b	c
-	0	0	0	0	0	0	0	0	0
a	0								
a	0								
a	0								
b	0								
b	0								
b	0								
c	0								
c	0								
c	0								

## Problem4

首先先看 abcabcabc 與 a 能夠迸出什麼火花

-	a	b	c	a	b	c	a	b	c
-	0	0	0	0	0	0	0	0	0
a	0								

從最左邊開始看

-	<b>a</b>	b	c	a	b	c	a	b	c
-	0	0	0	0	0	0	0	0	0
<b>a</b>	0								

相當於找 a 與 a 的最長共同子序列

## Problem4

若有兩個字串 A 與 B，兩個字串的結尾相同，比如都是 x  
那麼很明顯，A 與 B 的最長共同子序列應該是 A-1 與 B-1 ( 去掉  
結尾字元 ) 這兩個子字串的最長共同子序列，再加上原本被去掉  
的 x

在剛剛的例子，a 與 a 都有相同結尾

所以這格應該填上 (空字串) 與 (空字串) 的結果 +1

也就是 1

-	a	b	c	a	b	c	a	b	c
-	0	0	0	0	0	0	0	0	0
a	0	1							

## Problem4

若有兩個字串 A 與 B，兩個字串的結尾不同，比如 x 與 y  
最長共同子序列不可能同時包含這兩個，因為這兩個 x y 都在結尾

所以就去檢查 A-1 與 B 的，以及 A 與 B-1 的，以及 A-1 與 B-1

-	a	b	c	a	b	c	a	b	c
-	0	0	0	0	0	0	0	0	0
a	0	1							

此時表格上方是 0 ( ab 與空字串 )、左方是 1 ( a 與 a )、左上方是 0 ( a 與空字串 )。取最大的那個，也就是 1

-	a	b	c	a	b	c	a	b	c
-	0	0	0	0	0	0	0	0	0
a	0	1	1						

其中檢查左上方的程序可以省略 ( why? )  
時間複雜度是  $O(mn)$

## Problem4

-	a	b	c	a	b	c	a	b	c
-	0	0	0	0	0	0	0	0	0
a	0	1	1	1	1	1	1	1	1
a	0	1	1	1	2	2	2	2	2
a	0	1	1	1	2	2	2	3	3
b	0	1	2	2	2	3	3	3	4
b	0	1	2	2	2	3	3	3	4
b	0	1	2	2	2	3	3	3	4
c	0	1	2	3	3	3	4	4	5
c	0	1	2	3	3	3	4	4	5
c	0	1	2	3	3	3	4	4	5

其中紅字代表這格是同字元結尾  
也就是「左上 +1」步驟發生的地點

## Problem4

```
function LCS(A, B)
    m := len(A), n := len(B);
    initialize Table with type int[m + 1][n + 1];
    for i from 0 to m do
        for j from 0 to n do
            if i = 0 or j = 0 then
                Table[i][j] := 0;
            else if A[i - 1] = B[j - 1] then
                Table[i][j] := Table[i - 1][j - 1] + 1;
            else
                Table[i][j] := max(Table[i][j - 1], Table[i - 1][j]);
    return Table[m][n];
```

## Problem5

Consider designing by dynamic programming an algorithm that, given as input a sequence of distinct numbers, determines the length of a longest increasing subsequence in the input sequence. For instance, if the input sequence is 1, 3, 11, 5, 12, 14, 7, 9, 15, then a longest subsequence is 1, 3, 5, 7, 9, 15 whose length is 6 (another longest subsequence is 1, 3, 11, 12, 14, 15).

- (a) Formulate the solution using recurrence relations.
- (b) Present the algorithm in suitable pseudocode, based on the previous recursive formulation. What is the time complexity of your algorithm?

## Problem5

給定一個 sequence  $S$ ，求出最長遞增子序列 (LIS) 的長度

## Problem5

首先求 recurrence relations

概念上可以先想，對前  $n$  個元素，我有前  $n$  個元素的 LIS 長度  
加上第  $n+1$  個元素時，LIS 長度會不會跟著 +1？

那就得看原本的 LIS 最右方的元素是否比第  $n+1$  個元素還小，這樣才能保持遞增

所以我們得知道原先的 LIS 長怎樣

## Problem5

我們也不能只記得一個遞增子序列

例如：4 5 0 1 2

看到 0 時只在意前頭的 4 5，而看到 1 時也只在意前頭的 4 5，  
就會忽略掉此時已經一樣長的 0 1

從而在看到 2 時不會發現已經有更長的遞增子序列 0 1 2 出現了

## Problem5

解決方法是，在元素被加到陣列時，我們要去記的是：**在所有將此元素放在最右邊的子序列當中最長的遞增子序列長度**

延續上面的例子 4 5 0 1 2， 在看到 0 時我記住的是"0" 這個序列，而看到 1 時我記住的是"0 1" 這個序列

看到 2 時，前面出現過兩個最長的遞增子序列"4 5" 與"0 1"，而 2 可以接在"0 1" 的右方，所以記住"0 1 2"

要取出整個陣列的 LIS 長度，我們需要從頭到尾看誰記住的遞增子序列最長，而不是只看最右邊的元素存了什麼就好，複雜度會是  $O(n)$

## Problem5

於是 recurrence relations 可以這樣寫

$$length(i) = \begin{cases} 1 & i = 1 \\ 1 & \forall 1 \leq j < x. S[i] \geq S[j] \\ \max_{j \in T}(length(j)) + 1 & \text{otherwise} \end{cases}$$

$$(T = \{j \mid 1 \leq j < x \wedge S[i] < S[j]\})$$

$length(x)$  代表從  $S[1]$  到  $S[x]$  為止，以  $S[x]$  為尾的子序列當中最長的遞增子序列長度是多長

所以必須先知道有誰是可以讓  $S[x]$  接在後面的

因為前面記住的就是「以  $S[i]$  為尾的最長遞增子序列」，所以只需要比對  $S[i]$  與  $S[x]$  即可

找到前面最長的再 +1 即為所求

如果  $S[x]$  不巧正是最小的元素，無法接在任何人後面，那就記住長度為 1 的子序列（只包含自己）

## Problem5

轉換成 pseudocode

思考另一個例子 1 3 11 5 12

$$\text{length}(1) = 1$$

$$\text{length}(2) = \max(1, \text{length}(1) + 1) \text{ (max 後方的 } +1 \text{ 推到裡面)}$$

$$\text{length}(3) = \max(1, \text{length}(1) + 1, \text{length}(2) + 1)$$

$$\text{length}(4) = \max(1, \text{length}(1) + 1, \text{length}(2) + 1, \text{length}(3) + 1)$$

$$\text{length}(5) =$$

$$\max(1, \text{length}(1) + 1, \text{length}(2) + 1, \text{length}(3) + 1, \text{length}(4) + 1)$$

## Problem5

開一個陣列  $length$ ，初始值皆為 1

1 3 11 5 12

1 1 1 1 1

接著逐一將  $length(1) + 1$ 、 $length(2) + 1$ 、 $length(3) + 1$  與  
 $length(4) + 1$  考慮進去

由於  $length(1)$  就是 1，所以在初始化時等同於已經確定  $length(1)$  的值，我們就可以往後看  $length(2..n)$  的值是否要更新

如果  $S[1] < S[x]$ ，則  $length(x)$  就需要考慮  $length(1)$  的值，如果比較大就更新

1 3 11 5 12

1 2 2 2 2

## Problem5

此時  $length(2)$  的值已經被確定了，照這個作法做下去

1 3 11 5 12

1 2 3 3 3

當我們固定  $length(3)$  時，出現了不能接在 11 後面的元素  
也就是說  $length(4)$  的數值不會考慮  $length(3)$  的大小，因為  
 $S[3] \geq S[4]$

1 3 11 5 12

1 2 3 3 4

## Problem5

接下來固定  $length(4)$ ，此時  $length(5)$  的值跟  $length(4) + 1$  一樣，所以不會更動

1 3 11 5 12

1 2 3 3 4

Recall:  $length(5) = \max(0, length(1)+1, length(2)+1, length(3)+1, length(4)+1)$

## Problem5

最終結果

1 3 11 5 12  
1 2 3 3 **4**

答案取 4

不是因為它在最右邊，而是因為它是 *length* 當中的最大值

## Problem5

```
function LIS(S)
    n := len(S);
    initialize length with type int[n];
    for i from 1 to n do
        length[i] := 1;
    for i from 1 to n do
        for j from (i+1) to n do
            if S[i] < S[j] then
                length[j] := max(length[i]+1, length[j]);
    return max(length);
```

複雜度為  $O(n^2)$

## Problem5

有另外一種演算法可以降低複雜度 ?!  
依然是基於同樣的遞迴關係

## Problem5

開一個 array

1 2 3 4 5  
- - - - -

一開始先把開頭元素放進去

1 2 3 4 5  
**1** - - - -

這象徵了 1 這個元素對應到的 length 值是 1

## Problem5

接下來看 3 要放哪？

由關係式我們知道要往前看比 3 小的元素的 length 值再 +1  
在 array 當中的操作是：找到比自己略小的元素，往後方加上去

1 2 3 4 5

1 3 - - -

加入 11 也同理。那麼加入 5 的時候呢？

由於 11 比 5 大，所以 11 對應到的 length 不納入考量

1 2 3 4 5

1 3 11 - -

此時略小於 5 的元素是 3，於是在 3 的後面加上 5

1 2 3 4 5

1 3 11 - -

5

## Problem5

放進 12

在 12 前面，11 與 5 都有最大的 length

1 2 3 4 5

1 3 11 - -

5 12

我們可以只看 5 就好，因為可能出現介於 5 與 11 的數字，他可以放在 5 後面變成最長

也就是，上頭的 11 是可以忽略的

1 2 3 4 5

1 3 5 12 -

這是演算法運行時，array 實際的內容

array[k] 的數字代表，所有符合  $length(i) = k$  的 i 當中，最小的 S[i] 值。長度為 k 的遞增子序列當中最小的結尾

## Problem5

又因為我們是挑正好略小的元素，並塞數字到右邊  
所以被取代的數字一定比塞進去的數字大，而其右方的數字也是  
所以這個 array 總會是有序的

二元搜尋！

利用二元搜尋就能在  $\log$  時間級找到略小的元素在哪！  
時間複雜度變成  $O(n \log n)$

## Problem5

```
function LIS2(S)
    n := len(S), max_length := 1;
    initialize Array with type int[n];
    Array[1] := S[1];
    for i from 2 to n do
        find the largest element in Array[1:max_length] that is
        smaller than S[i];
        put S[i] right after the element;
        if S[i] is put beyond Array[1:max_length] then
            max_length++;
    return max_length;
```

上頭的 `Array[1:max_length]` 是包含 `Array[max_length]` 的範圍  
C++ 的 `std::lower_bound` 函數就提供了「給出略小的元素的右邊」  
在哪的功能。