

Software Verification: Hoare Logic and Predicate Transformers (Based on [Apt and Olderog 1991; Dijkstra 1976; Gries 1981; Hoare 1969; Kleymann 1999; Sethi 1996])

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An Axiomatic View of Programs



- The properties of a program can, in principle, be found out from its text by means of purely *deductive reasoning*.
- The deductive reasoning involves the application of valid inference rules to a set of valid axioms.
- The choice of axioms will depend on the choice of programming languages.
- We shall introduce such an axiomatic approach, called the *Hoare logic*, to program correctness.

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Assertions



- When executed, a program will evolve through different states, which are essentially a mapping of the program variables to values in their respective domains.
- To reason about correctness of a program, we inevitably need to talk about its states.
- An *assertion* is a precise statement about the state of a program.
- Most interesting assertions can be expressed in a *first-order* language.

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- The behavior of a "structured" (single-entry/single-exit) program statement can be characterized by attaching assertions at the entry and the exit of the statement.
- For a statement S, this is conveniently expressed as a so-called *Hoare triple*, denoted $\{P\} S \{Q\}$, where
 - P is called the pre-condition and
 - $\stackrel{\text{\tiny{\bullet}}}{=} Q$ is called the *post-condition* of *S*.

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Interpretations of a Hoare Triple



- A Hoare triple {P} S {Q} may be interpreted in two different ways:
 - Partial Correctness: if the execution of S starts in a state satisfying P and terminates, then it results in a state satisfying Q.
 - Total Correctness: if the execution of S starts in a state satisfying P, then it will terminate and result in a state satisfying Q.

Note: sometimes we write $\langle P \rangle S \langle Q \rangle$ when total correctness is intended.

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Pre and Post-Conditions for Specification



Find an integer approximate to the square root of another integer n:

$$\{0 \le n\} \ ? \ \{d^2 \le n < (d+1)^2\}$$

or slightly better (clearer about what can be changed)

$$\{0 \le n\} \ d := ? \ \{d^2 \le n < (d+1)^2\}$$

Find the index of value x in an array b:

Note: there are other ways to stipulate which variables are to be changed and which are not.

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A Little Bit of History



The following seminal paper started it all: *C.A.R. Hoare. An axiomatic basis for computer programs. CACM*, 12(8):576-580, 1969.

- Original notation: $P \{S\} Q$ (vs. $\{P\} S \{Q\}$)
- 😚 Interpretation: partial correctness
- Provided axioms and proof rules

Note: R.W. Floyd did something similar for flowcharts earlier in 1967, which was also a precursor of "proof outline" (a program fully annotated with assertions).

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The Assignment Statement



😚 Syntax:

$$x := E$$

- Meaning: execution of the assignment x := E (read as "x becomes E") evaluates E and stores the result in variable x.
- We will assume that expression E in x := E has no side-effect (i.e., does not change the value of any variable).
- Which of the following two Hoare triples is correct about the assignment x := E?

• $\{P\} x := E \{P[E/x]\}$

 $\circledast \ \{Q[E/x]\} \ x := E \ \{Q\}$

Note: *E* is essentially a first-order term.

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Some Hoare Triples for Assignments



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Axiom of the Assignment Statement



$$\frac{}{\{Q[E/x]\} := E \{Q\}} (Assignment)$$

Why is this so?

- Solution Let s be the state before x := E and s' the state after.
- So, s' = s[x := E] assuming E has no side-effect.

• Q[E/x] holds in s if and only if Q holds in s', because

- every variable, except x, in Q[E/x] and Q has the same value in s and s', and
- Q[E/x] has every x in Q replaced by E, while Q has every x evaluated to E in s' (= s[x := E]).

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The Multiple Assignment Statement



😚 Syntax:

$$x_1, x_2, \cdots, x_n := E_1, E_2, \cdots, E_n$$

where x_i 's are distinct variables.

Meaning: execution of the multiple assignment evaluates all E_i's and stores the results in the corresponding variables x_i's.

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Some Hoare Triples for Multi-assignments



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Simultaneous Substitution



- P[E/x] can be naturally extended to allow E to be a list E_1, E_2, \dots, E_n and x to be x_1, x_2, \dots, x_n , all of which are distinct variables.
- P[E/x] is then the result of simultaneously replaying
 x₁, x₂, ..., x_n with the corresponding expressions E₁, E₂, ..., E_n; enclose E_i's in parentheses if necessary.

😚 Examples:

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Axiom of the Multiple Assignment





$$x_1, x_2, \cdots, x_n := E_1, E_2, \cdots, E_n$$

where x_i 's are distinct variables.

😚 Axiom:

 $\frac{1}{\{Q[E_1, \cdots, E_n/x_1, \cdots, x_n]\} x_1, \cdots, x_n := E_1, \cdots, E_n \{Q\}} (Assign.)$

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Assignment to an Array Entry



📀 Syntax:

$$b[i] := E$$

Notation for an altered array: (b; i : E) denotes the array that is identical to b, except that entry i stores the value of E.

$$(b; i: E)[j] = \begin{cases} E & \text{if } i = j \\ b[j] & \text{if } i \neq j \end{cases}$$

📀 Axiom:

$${Q[(b; i : E)/b]} b[i] := E {Q} (Assignment)$$

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Pre and Post-condition of a Loop



- A precondition just before a loop can capture the conditions for executing the loop.
- An assertion just within a loop body can capture the conditions for staying in the loop.
- A postcondition just after a loop can capture the conditions upon leaving the loop.

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A Simple Example



 $\{x \ge 0 \land y > 0\}$ while $x \ge y$ do $\{x \ge 0 \land y > 0 \land x \ge y\}$ x := x - yod $\{x \ge 0 \land y > 0 \land x \not\ge y\}$ // or $\{x \ge 0 \land y > 0 \land x < y\}$

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More about the Example



We can say more about the program.

// may assume x, y := m, n here for some $m \ge 0$ and n > 0 $\{x \ge 0 \land y > 0 \land (x \equiv m \pmod{y})\}$ while $x \ge y$ do x := x - yod $\{x \ge 0 \land y > 0 \land (x \equiv m \pmod{y}) \land x < y\}$

Note: repeated subtraction is a way to implement the integer division. So, the program is taking the residue of x divided by y.

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A Simple Programming Language



To study inference rules of Hoare logic, we consider a simple programming language with the following syntax for statements:

$$S ::= skip$$

$$| x := E$$

$$| S_1; S_2$$

$$| if B then S fi$$

$$| if B then S_1 else S_2 fi$$

$$| while B do S od$$

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Proof Rules



$$\{Q[E/x]\} x := E \{Q\}$$
(Assignment) $\{P\}$ skip $\{P\}$ (Skip) $\{P\}$ skip $\{P\}$ (Skip) $\{P\}$ slip $\{Q\}$ $\{Q\}$ slip $\{Q\}$ slip $\{Q\}$ $\{P\}$ slip $\{Q\}$ $\{Q\}$ slip $\{P \land \neg B\}$ slip $\{Q\}$ $\{P \land B\}$ slip $\{Q\}$ $\{P \land \neg B\}$ slip $\{Q\}$ $\{P\}$ if B then since the slip slip $\{Q\}$ (Conditional)"if B then s find the following rule:(If-Then) $\{P \land B\}$ slip $\{Q\}$ $P \land \neg B \rightarrow Q$ $\{P\}$ if B then s find $\{Q\}$ (If-Then) $\{P\}$ if B then s find $\{Q\}$

Proof Rules (cont.)



$$\begin{array}{c} \{P \land B\} \ S \ \{P\} \\ \hline \{P\} \ \text{while} \ B \ \text{do} \ S \ \text{od} \ \{P \land \neg B\} \end{array} \tag{While}$$

$$\begin{array}{c} P \rightarrow P' \qquad \{P'\} \ S \ \{Q'\} \qquad Q' \rightarrow Q \\ \hline \{P\} \ S \ \{Q\} \end{aligned} \tag{Consequence}$$

Note: with a suitable notion of validity, the set of proof rules up to now can be shown to be sound and (relatively) complete for programs that use only the considered constructs.

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Some Auxiliary Rules	IM
$\frac{P \rightarrow P' \{P'\} \ S \ \{Q\}}{\{P\} \ S \ \{Q\}}$	(Strengthening Precondition)
$\frac{\{P\} \ S \ \{Q'\} \qquad Q' \rightarrow Q}{\{P\} \ S \ \{Q\}}$	(Weakening Postcondition)
$\frac{\{P_1\} \ S \ \{Q_1\} \qquad \{P_2\} \ S \ \{Q_2\}}{\{P_1 \land P_2\} \ S \ \{Q_1 \land Q_2\}}$	(Conjunction)
$\{P_1\} S \{Q_1\} \{P_2\} S \{Q_2\}$	

$\frac{(P_1) \ S \ (Q_1)}{\{P_1 \lor P_2\} \ S \ \{Q_1 \lor Q_2\}}$ (Disjunction)

Note: these rules provide more convenience, but do not actually add deductive power.

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Invariants



- An *invariant* at some point of a program is an assertion that holds whenever execution of the program reaches that point.
- Assertion P in the rule for a while loop is called a *loop invariant* of the while loop.
- An assertion is called an *invariant of an operation* (a segment of code) if, assumed true before execution of the operation, the assertion remains true after execution of the operation.
- Invariants are a bridge between the static text of a program and its dynamic computation.

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Program Annotation

Inserting assertions/invariants in a program as comments helps understanding of the program.

 $\{x \ge 0 \land y > 0 \land (x \equiv m \pmod{y})\}$ while $x \ge y$ do $\{x \ge 0 \land y > 0 \land x \ge y \land (x \equiv m \pmod{y})\}$ x := x - y $\{y > 0 \land x \ge 0 \land (x \equiv m \pmod{y})\}$ od

 $\{x \ge 0 \land y > 0 \land (x \equiv m \pmod{y})) \land x < y\}$

- A correct annotation of a program can be seen as a partial proof outline for the program.
- 😚 Boolean assertions can also be used as an aid to program testing.

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An Annotated Program



$$\{x \ge 0 \land y \ge 0 \land gcd(x, y) = gcd(m, n) \}$$
while $x \ne 0$ and $y \ne 0$ do

$$\{x \ge 0 \land y \ge 0 \land gcd(x, y) = gcd(m, n) \}$$
if $x < y$ then $x, y := y, x$ fi;

$$\{x \ge y \land y \ge 0 \land gcd(x, y) = gcd(m, n) \}$$
x $:= x - y$

$$\{x \ge 0 \land y \ge 0 \land gcd(x, y) = gcd(m, n) \}$$
od

$$\{(x = 0 \land y \ge 0 \land y = gcd(x, y) = gcd(m, n)) \lor$$
($x \ge 0 \land y = 0 \land x = gcd(x, y) = gcd(m, n)$)

Note: m and n are two arbitrary non-negative integers, at least one of which is nonzero.

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Total Correctness: Termination



- All inference rules introduced so far, except the while rule, work for total correctness.
- Below is a rule for the total correctness of the **while** statement:

 $\{P \land B\} S \{P\} \qquad \{P \land B \land t = Z\} S \{t < Z\} \qquad P \to (t \ge 0)$

 $\{P\}$ while *B* do *S* od $\{P \land \neg B\}$

where t is an integer-valued expression (state function) and Z is a "rigid" variable that does not occur in P, B, t, or S.

The above function *t* is called a *rank* (or variant) function.

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Termination of a Simple Program



$$g, p := 0, n; // n \ge 1$$

while $p \ge 2$ do
 $g, p := g + 1, p - 1$
od

- Solution Loop Invariant: $(g + p = n) \land (p \ge 1)$
- 📀 Rank (Variant) Function: *p*
- 😚 The loop terminates when $p=1~(p\geq 1\wedge p
 ot\geq 2).$

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Well-Founded Sets



• A binary relation $\preceq \subseteq A \times A$ is a **partial order** if it is

- reflexive: $\forall x \in A(x \leq x)$,
- Itransitive: $\forall x, y, z \in A((x \leq y \land y \leq z) \rightarrow x \leq z)$, and
- initial antisymmetric: $\forall x, y \in A((x \leq y \land y \leq x) \rightarrow x = y).$
- A partially ordered set (W, ≤) is well-founded if there is no infinite decreasing chain x₁ ≻ x₂ ≻ x₃ ≻ · · · of elements from W. (Note: "x ≻ y" means "y ≤ x ∧ y ≠ x".)
 Examples:

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Termination by Well-Founded Induction



Below is a more general rule for the total correctness of the **while** statement:

$\{P \land B\} S \{P\} \qquad \{P \land B \land \delta = D\} S \{\delta \prec D\} \qquad P \to (\delta \in W)$ $\{P\} \text{ while } B \text{ do } S \text{ od } \{P \land \neg B\}$

where (W, \preceq) is a well-founded set, δ is a state function, and D is a "rigid" variable ranged over W that does not occur in P, B, δ , or S.

Nondeterminism



Syntax of the Alternative Statement: **if** $B_1 \rightarrow S_1$ $\| B_2 \rightarrow S_2$ \dots $\| B_n \rightarrow S_n$ **fi**

Each of the " $B_i \rightarrow S_i$ "s is called a guarded command, where B_i is the guard of the command and S_i the body.

Semantic:

- 1. One of the guarded commands, whose guard evaluates to true, is nondeterministically selected and its body executed.
- 2. If none of the guards evaluates to true, then the execution aborts.

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Rule for the Alternative Statement



The Alternative Statement:

$$\begin{array}{c} \text{if } B_1 \to S_1 \\ \llbracket B_2 \to S_2 \\ \cdots \\ \rrbracket B_n \to S_n \\ \text{fi} \end{array}$$

Inference rule:

-

$$\frac{P \to B_1 \lor \cdots \lor B_n}{\{P\} \text{ if } B_1 \to S_1 \| \cdots \| B_n \to S_n \text{ fi } \{Q\}}, \text{ for } 1 \le i \le n$$

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The Coffee Can Problem as a Program

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- 📀 Loop Invariant: $W\equiv n~({
 m mod}~2)~~({
 m and}~B+W\geq 1)$
- Variant (Rank) Function: B + W
- The loop terminates when B + W = 1.

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Predicate Transformers: Basic Idea



The execution of a sequential program, if terminating, transforms the initial state into some final state.

If, for any given postcondition, we know the weakest precondition that guarantees termination of the program in a state satisfying the postcondition,

then we have fully understood the meaning of the program. Note: the weakest precondition is the weakest in the sense that it identifies all the desired initial states and nothing else.

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The Predicate Transformer wp



- For a program S and a predicate (or an assertion) Q, let wp(S, Q) denote the aformentioned weakest precondition.
- Therefore, we can see a program as a predicate transformer wp(S, ·), transforming a postcondition Q (a predicate) into its weakest precondition wp(S, Q).
- If the execution of S starts in a state satisfying wp(S, Q), it is guaranteed to terminate and result in a state satisfying Q.

Note: there is a weaker variant of *wp*, called *wlp* (weakest liberal precondition), which is defined almost identical to *wp* except that termination is not guaranteed.

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Hoare Triples in Terms of wp



- When total correctness is meant, $\{P\} \ S \ \{Q\}$ can be understood as saying $P \Rightarrow wp(S, Q)$.
- In fact, with a suitable formal definition, wp provides a semantic foundation for the Hoare logic.
- The precondition P here may be as weak as wp(S, Q), but often a stronger and easier-to-find P is all that is needed.

Properties of wp



Fundamental Properties (Axioms):

- Law of the Excluded Miracle: $wp(S, false) \equiv false$
- Solution: $wp(S, Q_1) \land wp(S, Q_2) \equiv wp(S, Q_1 \land Q_2)$
- **Distributivity of Disjunction** for deterministic *S*: $wp(S, Q_1) \lor wp(S, Q_2) \equiv wp(S, Q_1 \lor Q_2)$

Derived Properties:

- Law of Monotonicity: if $Q_1 \Rightarrow Q_2$, then $wp(S, Q_1) \Rightarrow wp(S, Q_2)$
- Oistributivity of Disjunction for nondeterministic S:
 $wp(S, Q_1) \lor wp(S, Q_2) \Rightarrow wp(S, Q_1 \lor Q_2)$

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Predicate Calculation



Sequivalence is preserved by substituting equals for equals

Example:

$$(A \lor B) \to C$$

$$\equiv \{A \to B \equiv \neg A \lor B\}$$

$$\neg (A \lor B) \lor C$$

$$\equiv \{\text{ de Morgan's law }\}$$

$$(\neg A \land \neg B) \lor C$$

$$\equiv \{\text{ distributive law }\}$$

$$(\neg A \lor C) \land (\neg B \lor C)$$

$$\equiv \{A \to B \equiv \neg A \lor B\}$$

$$(A \to C) \land (B \to C)$$

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Predicate Calculation (cont.)



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- Entailment distributes over conjunction, disjunction, quantification, and the consequence of an implication.
- Example: $\forall x(A \to B) \land \forall xA$ $\Rightarrow \{ \forall x(A \to B) \Rightarrow (\forall xA \to \forall xB) \land \forall x \}$

$$\Rightarrow \{ \forall x (A \rightarrow B) \Rightarrow (\forall x A \rightarrow \forall x B) \} \\ (\forall x A \rightarrow \forall x B) \land \forall x A \\ \equiv (\neg \forall x A \lor \forall x B) \land \forall x A \\ \equiv (\neg \forall x A \land \forall x A) \lor (\forall x B \land \forall x A) \\ \equiv \{ \neg A \land A \equiv false \} \\ false \lor (\forall x B \land \forall x A) \\ \equiv \{ false \lor A \equiv A \} \\ \forall x B \land \forall x A \end{cases}$$

 $\Rightarrow \forall xB$

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Some Laws for Predicate Calculation



Equivalence is commutative and associative $\stackrel{\hspace{0.1cm} \bullet}{=} A \leftrightarrow (B \leftrightarrow C) \equiv (A \leftrightarrow B) \leftrightarrow C$ \bigcirc false $\lor A \equiv A \lor$ false $\equiv A$ $\bigcirc \neg A \land A = false$ $A \rightarrow B \equiv \neg A \lor B$ $\bigcirc A \rightarrow false \equiv \neg A$ $(A \lor B) \to C \equiv (A \to C) \land (B \to C)$ $\bigcirc A \land B \Rightarrow A$

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Some Laws for Predicate Calculation (cont.)



- ∀x(x = E → A) ≡ A[E/x] ≡ ∃x(x = E ∧ A), if x is not free in E.

- $\forall x(A \rightarrow B) \equiv A \rightarrow \forall xB$, if x is not free in A.
- $\exists x(A \land B) \equiv A \land \exists xB$, if x is not free in A.

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"Extreme" Programs



- wp(skip, Q) $\stackrel{\Delta}{=} Q$
- wp(choose $x, x \in Dom(x)) \stackrel{\Delta}{=} true$
- wp(choose $x, Q) \stackrel{\Delta}{=} Q$, if x is not free in Q
- wp(abort, $Q) \stackrel{\Delta}{=} false$

The Assignment Statement



📀 Syntax: x := E

Note: this becomes a multiple assignment, if we view x as a list of distinct variables and E as a list of expressions.

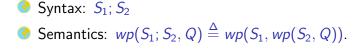
Semantics: $wp(x := E, Q) \stackrel{\Delta}{=} Q[E/x].$

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Sequencing





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The Alternative Statement

Syntax:
IF: if
$$B_1 \rightarrow S_1$$

 $\| B_2 \rightarrow S_2$
 \dots
 $\| B_n \rightarrow S_n$
fi

📀 Semantics:

$$wp(\text{IF}, Q) \stackrel{\Delta}{=} (\exists i : 1 \le i \le n : B_i) \\ \land (\forall i : 1 \le i \le n : B_i \to wp(S_i, Q))$$

• The case of simple IF:

 $wp(\mathbf{if} \ B \to S \ \mathbf{fi}, Q) \stackrel{\Delta}{=} B \land (B \to wp(S, Q))$

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The Alternative Statement (cont.)



Suppose there exists a predicate P such that 1. $P \Rightarrow (\exists i : 1 \le i \le n : B_i)$ and 2. $\forall i : 1 \le i \le n : P \land B_i \Rightarrow wp(S_i, Q)$. Then $P \Rightarrow wp(IF, Q)$.

😚 Inference rule in the Hoare logic:

 $P \Rightarrow (\exists i : 1 \le i \le n : B_i) \quad \forall i : 1 \le i \le n : \{P \land B_i\} S_i \{Q\}$ $\{P\} \text{ IF : } \mathbf{if } B_1 \rightarrow S_1 \| \cdots \| B_n \rightarrow S_n \mathbf{fi} \{Q\}$ The case of simple IF:

$$P \Rightarrow B \qquad \{P \land B\} S \{Q\}$$
$$\boxed{\{P\} \text{ if } B \to S \text{ fi } \{Q\}}$$

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The Iterative Statement



📀 Syntax:

$$\begin{array}{cccc} \text{O: } \mathbf{do} \ B_1 \to S_1 \\ & \| \ B_2 \to S_2 \\ & \cdots \\ & \| \ B_n \to S_n \\ & \mathbf{od} \end{array}$$

Each of the " $B_i \rightarrow S_i$ "s is a guarded command.

- Informal description: Choose (nondeterministically) a guard B_i that evaluates to true and execute the corresponding command S_i. If none of the guards evaluates to true, then the execution terminates.
- The usual "while *B* do *S* od" can be defined as this simple while-loop: "do $B \rightarrow S$ od".

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The Iterative Statement (cont.)



- ♦ Let BB denote $\exists i : 1 \leq i \leq n : B_i$, i.e., $B_1 \lor B_2 \lor \cdots \lor B_n$.
- 😚 The DO statement is equivalent to

do BB
$$\rightarrow$$
 if $B_1 \rightarrow S_1$
 $\| B_2 \rightarrow S_2$
 \cdots
 $\| B_n \rightarrow S_n$
if

od

or simply $\mathbf{do} \ \mathrm{BB} \to \mathrm{IF} \ \mathbf{od}.$

This suggests that we could have got by with just the simple while-loop.

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A Theorem for Simple DO



Suppose there exist a predicate P and an integer-valued expression t such that

1.
$$P \land B \Rightarrow wp(S, P)$$
,
2. $P \Rightarrow (t \ge 0)$, and
3. $P \land B \land (t = t_0) \Rightarrow wp(S, t < t_0)$, where t_0 is a rigid variable.
Then $P \Rightarrow wp(\textbf{do } B \rightarrow S \textbf{ od}, P \land \neg B)$.

This is to be contrasted by

 $\{P \land B\} S \{P\} \qquad \{P \land B \land t = Z\} S \{t < Z\} \qquad P \Rightarrow (t \ge 0)$ $\{P\} \text{ while } B \text{ do } S \text{ od } \{P \land \neg B\}$

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