

Time Complexity and NP-Completeness

(Based on [Sipser 2006, 2013])

Yih-Kuen Tsay

Department of Information Management
National Taiwan University

Time Complexity

- 🌐 Decidability of a problem merely indicates that the problem is computationally solvable *in principle*.
- 🌐 It may not be solvable *in practice* if the solution requires an inordinate amount of time or memory.
- 🌐 We shall introduce a way of **measuring the time** used to solve a problem.
- 🌐 We then show how to **classify problems** according to the amount of time required.

Measuring Time Complexity

- 🌐 Let $A = \{0^k 1^k \mid k \geq 0\}$.
- 🌐 How much time does a single-tape TM need to decide A ?
- 🌐 A single-tape TM M_1 for A works as follows:
 1. Scan across the tape and *reject* if a 0 appears to the right of a 1.
 2. Repeat Stage 3 if both 0s and 1s remain on the tape.
 3. Scan across the tape, crossing off a single 0 and a single 1.
 4. If no 0s or 1s remain on the tape, *accept*; otherwise, *reject*.

Measuring Time Complexity (cont.)

- 🌐 We shall compute the running time of an algorithm purely as a function of the length of the string representing the input.

Definition (7.1)

Let M be a deterministic TM that halts on all inputs.


The **running time** or **time complexity** of M is the function $f : \mathcal{N} \rightarrow \mathcal{N}$, where $f(n)$ is the *maximum* number of steps that M uses on any input of length n .

If $f(n)$ is the running time of M , we say that M *runs in time* $f(n)$ or that M *is an* $f(n)$ *time Turing machine*.

Asymptotic Analysis

- 🌐 The exact running time of an algorithm is a complex expression.
- 🌐 We seek to understand the running time of the algorithm when it is run on large inputs.
- 🌐 We do so by considering only the highest-order term of the expression of its running time (discarding the coefficient of that term and any lower-order terms).
- 🌐 For example, if $f(n) = 6n^3 + 2n^2 + 20n + 45$, we say that f is *asymptotically* at most n^3 .
- 🌐 The *asymptotic notation*, or *big-O notation*, for describing this relationship is $f(n) = O(n^3)$.

Asymptotic Bounds

 Let \mathcal{R}^+ be the set of positive real numbers.

Definition (7.2)

Let f and g be two functions $f, g : \mathcal{N} \rightarrow \mathcal{R}^+$.

We say that $f(n) = O(g(n))$ if positive integers c and n_0 exist so that, for every integer $n \geq n_0$,

$$f(n) \leq cg(n).$$

When $f(n) = O(g(n))$, we say that $g(n)$ is an (asymptotic) *upper bound* for $f(n)$.

Asymptotic Bounds (cont.)

- 🌐 Intuitively, $f(n) = O(g(n))$ means that f is less than or equal to g if we disregard differences up to a constant factor.
- 🌐 Big- O notation gives a way to say that one function is asymptotically *no more than* another.
- 🌐 Big- O notation can appear in arithmetic expressions such as $O(n^2) + O(n)$ ($= O(n^2)$) and $2^{O(n)}$.
- 🌐 Bounds of the form n^c , for $c > 0$, are called *polynomial bounds*.
- 🌐 Bounds of the form 2^{n^c} , for $c > 0$, are called *exponential bounds*.

Asymptotic Bounds (cont.)

- 🌐 To say that one function is asymptotically *less than* another, we use small- o notation.

Definition (7.5)

Let f and g be two functions $f, g : \mathcal{N} \rightarrow \mathcal{R}^+$. We say that $f(n) = o(g(n))$ if

$$\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0.$$

- 🌐 For example, $\sqrt{n} = o(n)$ and $n \log n = o(n^2)$.

Analyzing Algorithms




- Consider the single-tape TM M_1 for deciding $\{0^k1^k \mid k \geq 0\}$.
- Stage 1 takes $2n (= O(n))$ steps: n steps to scan the input and another n steps to reposition the head at the left-hand end of the tape.
- Each execution of Stage 3 takes $2n$ steps and at most $n/2$ such executions are required. So, Stages 2 and 3 take at most $(n/2)2n (= O(n^2))$ steps.
- Stage 4 takes $n (= O(n))$ steps.

Complexity Classes

Definition (7.7)

Let $t : \mathcal{N} \rightarrow \mathcal{N}$ be a function.

Define the **time complexity class** $\text{TIME}(t(n))$ to be $\{L \mid L \text{ is a language decided by an } O(t(n)) \text{ time Turing machine}\}$.

-  $A (= \{0^k 1^k \mid k \geq 0\}) \in \text{TIME}(n^2)$, since M_1 decides A in time $O(n^2)$.
-  Is there a machine that decides A asymptotically faster?
-  In other words, is A in $\text{TIME}(t(n))$ for $t(n) = o(n^2)$?

Complexity Classes (cont.)

- Below is a faster single-tape TM for deciding A ($= \{0^k1^k \mid k \geq 0\}$).
- $M_2 =$ “On input string w :
 - Same as Stage 1 of M_1 .
 - Repeat Stages 3 and 4 if both 0s and 1s remain on the tape.
 - If the total number of 0s and 1s remaining is odd, *reject*.
 - Cross off every other 0 and then every other 1.
 - If no 0s or 1s remain on the tape, *accept*; otherwise, *reject*.”
- The running time of M_2 is $O(n \log n)$ and hence $A \in \text{TIME}(n \log n)$.

Complexity Classes (cont.)

- Below is an even faster TM, which has *two* tapes, for deciding A ($= \{0^k 1^k \mid k \geq 0\}$).
- $M_3 =$ “On input string w :
 - Same as Stage 1 of M_1 .
 - Copy the 0s on Tape 1 onto Tape 2.
 - Scan across the 1s on Tape 1 until the end of the input, crossing off a 0 on Tape 2 for each 1. If there are not enough 0s, *reject*.
 - If all the 0s have now been crossed off, *accept*; otherwise, *reject*.”
- The running time of M_3 is $O(n)$.
- This indicates that *the complexity of A depends on the model of computation selected.*

Theorem (7.8)

Let $t(n)$ be a function, where $t(n) \geq n$. Then every $t(n)$ time multitape Turing machine has an equivalent $O(t^2(n))$ time single-tape Turing machine.

- Let M be a k -tape TM running in $t(n)$ time.
- A single-tape TM S simulating M requires $O(t(n))$ tape cells to store the current contents of M 's tapes and the respective head positions.
- It takes $O(t(n))$ time for S to simulate each of M 's $t(n)$ steps.
- So, the running time of S is $t(n) \times O(t(n)) = O(t^2(n))$.

Definition (7.9)

The running time of a nondeterministic TM N is the function $f : \mathcal{N} \rightarrow \mathcal{N}$, where $f(n)$ is the *maximum* number of steps that N uses on *any* branch of its computation on any input of length n .

Theorem (7.11)

Let $t(n)$ be a function, where $t(n) \geq n$. Then every $t(n)$ time nondeterministic single-tape Turing machine has an equivalent $2^{O(t(n))}$ time deterministic single-tape Turing machine.

Complexity Relationships among Models (cont.)

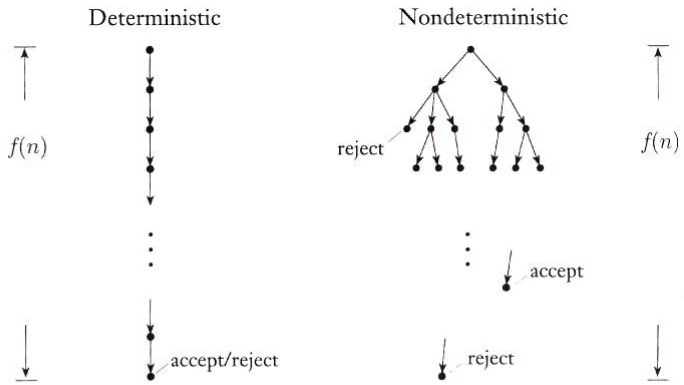


FIGURE 7.10
Measuring deterministic and nondeterministic time

Source: [Sipser 2006]

- Every branch of N 's computation tree has a length of at most $t(n)$.
- The total number of nodes in the tree is $O(b^{t(n)})$, where b is the maximum number of legal choices given by N 's transition function.
- The running time of a simulating deterministic 3-tape TM is $O(t(n)) \times O(b^{t(n)}) = 2^{O(t(n))}$.
- The running time of a simulating deterministic single-tape TM is $(2^{O(t(n))})^2 = 2^{O(2t(n))} = 2^{O(t(n))}$.

Polynomial Time

- 🌐 For our purposes, *polynomial differences* in running time are considered to be small, whereas *exponential differences* are considered to be large.
- 🌐 Exponential time algorithms typically arise when we solve problems by searching through a space of solutions, called *brute-force search*.
- 🌐 All “reasonable” deterministic computational models are *polynomially equivalent*, i.e., any one of them can simulate another with a polynomial increase in running time.
- 🌐 We shall focus on aspects of time complexity theory that are unaffected by polynomial differences in running time.

The Class P

Definition (7.12)

P is the class of languages that are decidable in *polynomial* time on a *deterministic* single-tape Turing machine. In other words,


$$P = \bigcup_k \text{TIME}(n^k)$$

- 🌐 P is invariant for all models of computing that are polynomially equivalent to the deterministic single-tape Turing machine.
- 🌐 P roughly corresponds to the class of problems that are “*realistically solvable*” on a computer.

Analyzing Algorithms for P Problems


- 🌐 Suppose that we have given a high-level description of a polynomial time algorithm with stages. To analyze the algorithm,
 1. we first give a polynomial upper bound on the number of stages that the algorithm uses, and
 2. we then show that the individual stages can be implemented in polynomial time on a reasonable deterministic model.
- 🌐 A “reasonable” encoding method for problems should be used, which allows for polynomial time encoding and decoding of objects into natural internal representation or into other reasonable encodings.

Problems in P

 $PATH = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from } s \text{ to } t \}$.

Theorem (7.14)

$PATH \in P$.

 $M =$ “On input $\langle G, s, t \rangle$:

1. Place a mark on node s .
2. Repeat Stage 3 until no additional nodes are marked.
3. Scan all the edges of G . If an edge (a, b) is found going from a marked node a to an unmarked node b , mark node b .
4. If t is marked, *accept*; otherwise, *reject*.”

Problems in P (cont.)

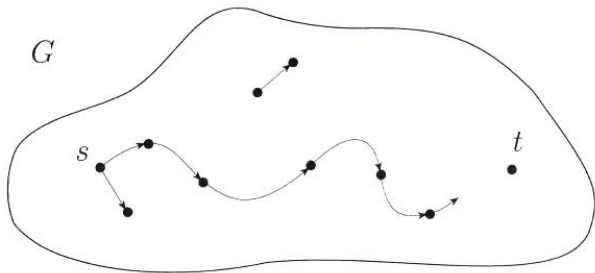


FIGURE 7.13

The *PATH* problem: Is there a path from s to t ?

Source: [Sipser 2006]

Problems in P (cont.)

🌐 $RELPRIME = \{\langle x, y \rangle \mid x \text{ and } y \text{ are relatively prime}\}.$

Theorem (7.15)

$RELPRIME \in P.$

🌐 The input size of a number x is $\log x$ (not x itself).

🌐 $E =$ “On input $\langle x, y \rangle$:

1. Repeat Stages 2 and 3 until $y = 0$.
2. Assign $x \leftarrow x \bmod y$.
3. Exchange x and y .
4. Output x .”

🌐 $R =$ “On input $\langle x, y \rangle$:

1. Run E on $\langle x, y \rangle$.
2. If E 's output is 1, *accept*; otherwise, *reject*.”

Problems in P (cont.)

Theorem (7.16)

Every context-free language belongs to P.

We assume that a CFG in Chomsky normal form is given for the context-free language.

$D =$ "On input $w = w_1w_2 \cdots w_n$,

1. If $w = \varepsilon$ and $S \rightarrow \varepsilon$ is a rule, **accept**.
2. For $i = 1$ to n ,
3. For each variable A ,
4. Is $A \rightarrow b$, where $b = w_i$, a rule?
5. If yes, add A to $table(i, i)$.
6. For $l = 2$ to n ,
7. For $i = 1$ to $n - l + 1$,
8. Let $j = i + l - 1$,
9. For $k = i$ to $j - 1$,
10. For each rule $A \rightarrow BC$,
11. If $B \in table(i, k)$ and $C \in table(k + 1, j)$,
 then put A in $table(i, j)$.
12. If $S \in table(1, n)$, **accept**; otherwise, **reject**."

The Hamiltonian Path Problem

- 🌐 A *Hamiltonian path* in a directed graph is a directed path that goes through each node exactly once.
- 🌐 $HAMPATH = \{ \langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from } s \text{ to } t \}$.
- 🌐 We can easily obtain an exponential time algorithm for *HAMPATH*.
- 🌐 No one knows whether *HAMPATH* is solvable in polynomial time.
- 🌐 However, *verifying* the existence of a Hamiltonian path may be much easier than *determining* its existence.

The Hamiltonian Path Problem (cont.)

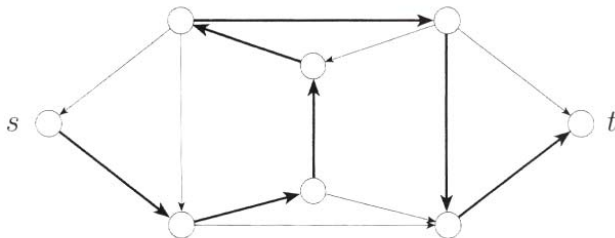


FIGURE 7.17

A Hamiltonian path goes through every node exactly once

Source: [Sipser 2006]

The Class NP

Definition (7.18)

A **verifier** for a language A is an algorithm V , where

$$A = \{w \mid V \text{ accepts } \langle w, c \rangle \text{ for some string } c\}.$$

The information represented by the symbol c is called a *certificate*, or *proof*, of membership in A .

A *polynomial time verifier* runs in polynomial time in the length of w .

Definition (7.19)

NP is the class of *polynomially verifiable* languages, i.e., languages that have polynomial time verifiers.

The Class NP (cont.)

Theorem (7.20)

A language is in NP iff it is decided by some nondeterministic polynomial time Turing machine.

- 🌐 Let V be a verifier for $A \in \text{NP}$ that runs in time n^k . Construct a decider N for A as follows.
- 🌐 $N =$ “On input w of length n :
 1. Nondeterministically select string c of length n^k .
 2. Run V on input $\langle w, c \rangle$.
 3. If V accepts, *accept*; otherwise, *reject*.”

The Class NP (cont.)

- Let N be a nondeterministic decider for a language A that runs in time n^k . Construct a verifier V for A as follows.
- $V =$ “On input $\langle w, c \rangle$:
 - Simulate N on input w , treating each symbol of c as a description of the nondeterministic choice to make at each step.
 - If this branch of N 's computation accepts, *accept*; otherwise, *reject*.”

The Class NP (cont.)

Definition (7.21)

$\text{NTIME}(t(n)) = \{L \mid L \text{ is a language decided by an } O(t(n)) \text{ time nondeterministic Turing machine}\}.$

Corollary (7.22)

$\text{NP} = \bigcup_k \text{NTIME}(n^k).$

- 🌐 The class NP is insensitive to the choice of reasonable nondeterministic computational model.
- 🌐 Like in the deterministic case, we use a high-level description to present a nondeterministic polynomial time algorithm.
 1. Each stage of a nondeterministic polynomial time algorithm must have an obvious implementation in polynomial on a reasonable nondeterministic model.
 2. Every branch of its computation tree uses at most polynomially many stages.

Problems in NP

- 🌐 A *clique* in an undirected graph is a subgraph, wherein every two nodes are connected by an edge.
- 🌐 A *k-clique* is a clique that contains k nodes.
- 🌐 $CLIQUE = \{ \langle G, k \rangle \mid G \text{ is an undirected graph with a } k\text{-clique} \}$.

Theorem (7.24)

CLIQUE is in NP.

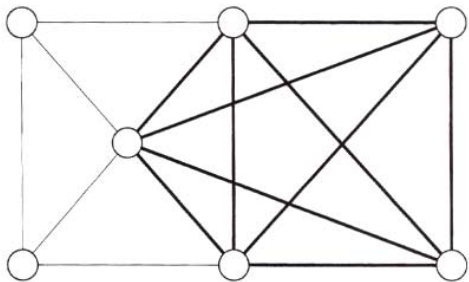


FIGURE 7.23
A graph with a 5-clique

Source: [Sipser 2006]

Problems in NP (cont.)

- 🌐 $V =$ “On input $\langle\langle G, k \rangle, c \rangle$:
 1. Test whether c is a set of k nodes in G .
 2. Test whether G contains all edges connecting nodes in c .
 3. If both pass, *accept*; otherwise, *reject*.”

- 🌐 Alternatively,
 $N =$ “On input $\langle G, k \rangle$:
 1. Nondeterministically select a subset c of k nodes in G .
 2. Test whether G contains all edges connecting nodes in c .
 3. If yes, *accept*; otherwise, *reject*.”

Problems in NP (cont.)

- 🌐 $SUBSET_SUM = \{ \langle S, t \rangle \mid S = \{x_1, \dots, x_k\} \text{ and for some } \{y_1, \dots, y_l\} \subseteq S, \text{ we have } \sum y_i = t \}$.

Theorem (7.25)

$SUBSET_SUM$ is in NP.

- 🌐 $V =$ “On input $\langle \langle S, t \rangle, c \rangle$:

1. Test whether c is a collection of numbers that sum to t .
2. Test whether S contains the numbers in c .
3. If both pass, *accept*; otherwise, *reject*.”

- 🌐 Alternatively,

$N =$ “On input $\langle S, t \rangle$:

1. Nondeterministically select a subset c of the numbers in S .
2. Test whether c is a collection of numbers that sum to t .
3. If yes, *accept*; otherwise, *reject*.”

- 🌐 The complements of *CLIQUE* and *SUBSET_SUM*, namely \overline{CLIQUE} and $\overline{SUBSET_SUM}$, are not obviously members of NP.
- 🌐 Verifying that something is *not* present seems to be more difficult than verifying that it *is* present.
- 🌐 The complexity class co-NP contains the languages that are complements of languages in NP.
- 🌐 We do not know whether co-NP is different from NP.

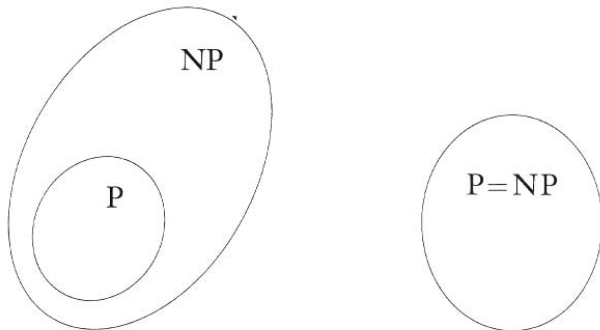


FIGURE 7.26

One of these two possibilities is correct

Source: [Sipser 2006]

NP-Completeness

- 🌐 The complexity of certain problems in NP is related to that of the entire class [Cook and Levin].
- 🌐 If a polynomial time algorithm exists for any of the problems, all problems in NP would be polynomial time solvable.
- 🌐 These problems are called **NP-complete**.
- 🌐 $SAT = \{ \langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula} \}$.

Theorem (7.27; Cook-Levin)

$SAT \in P$ iff $P = NP$.

Polynomial Time Reducibility

- 🌐 When problem A is *efficiently* reducible to problem B , an efficient solution to B can be used to solve A efficiently.

Definition (7.28)

A function $f : \Sigma^* \rightarrow \Sigma^*$ is a **polynomial time computable function** if some polynomial time Turing machine M , on every input w , halts with just $f(w)$ on its tape.

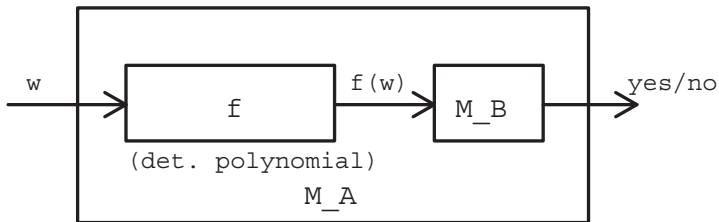
Polynomial Time Reducibility (cont.)

Definition (7.29)

Language A is **polynomial time mapping reducible** (polynomial time reducible) to language B , written $A \leq_P B$, if there is a polynomial time computable function $f : \Sigma^* \rightarrow \Sigma^*$, where for every w ,

$$w \in A \iff f(w) \in B.$$

Polynomial Time Reducibility (cont.)



Polynomial Time Reducibility (cont.)

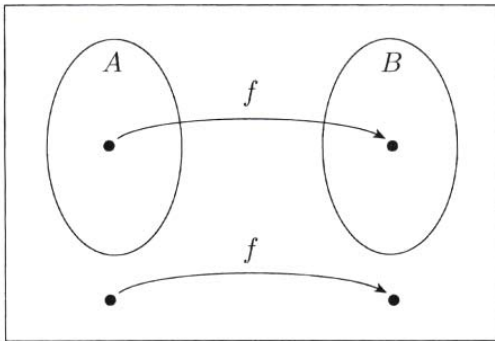


FIGURE 7.30

Polynomial time function f reducing A to B

Source: [Sipser 2006]

Polynomial Time Reducibility (cont.)

Theorem (7.31)

If $A \leq_P B$ and $B \in P$, then $A \in P$.

- Let M be the polynomial time algorithm deciding B and f be the polynomial time reduction from A to B .
- On input w :
 - Compute $f(w)$.
 - Run M on input $f(w)$ and output whatever M outputs.

Example Polynomial Time Reducibility

- 🌐 A Boolean formula is in *conjunctive normal form*, called a CNF-formula, if it comprises several clauses connected with \wedge s, as in

$$(x_1 \vee \overline{x_2} \vee \overline{x_3} \vee x_4) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6})$$

- 🌐 It is a 3CNF-formula if all the clauses have three literals, as in

$$(x_1 \vee \overline{x_2} \vee \overline{x_3}) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6} \vee x_4) \wedge (x_4 \vee x_5 \vee x_6)$$

- 🌐 $3SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable 3CNF-formula}\}$.

Theorem (7.32)

3SAT is polynomial time reducible to CLIQUE.

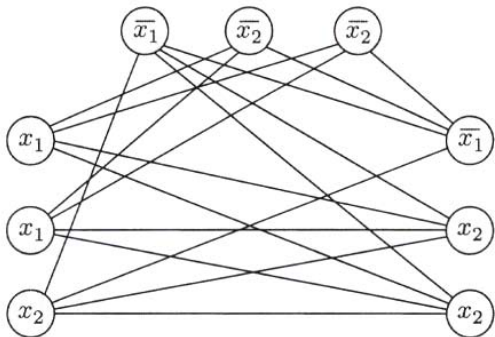


FIGURE 7.33

The graph that the reduction produces from

$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_2) \wedge (\bar{x}_1 \vee x_2 \vee x_2)$$

Source: [Sipser 2006]

NP-Completeness

Definition (7.34)

A language B is **NP-complete** if it satisfies two conditions:

1. B is in NP, and
2. every A in NP is polynomial time reducible to B (in which case, we say that B is **NP-hard**).

Theorem (7.35)

If B is NP-complete and $B \in P$, then $P = NP$.

Theorem (7.36)

If B is NP-complete and $B \leq_P C$ for some $C \in NP$, then C is NP-complete.

The Cook-Levin Theorem

Theorem (7.37)

SAT is NP-complete.

- 🌐 *SAT* is in NP, as a nondeterministic polynomial time TM can guess an assignment to a given formula ϕ and accept if the assignment satisfies ϕ .
- 🌐 We next construct a polynomial time reduction for each language A in NP to *SAT*.
- 🌐 The reduction takes a string w and produces a Boolean formula ϕ that simulates the NP machine N for A on input w .
- 🌐 Assume that N runs in time n^k (with some constant difference) for some $k > 0$.

The Cook-Levin Theorem (cont.)

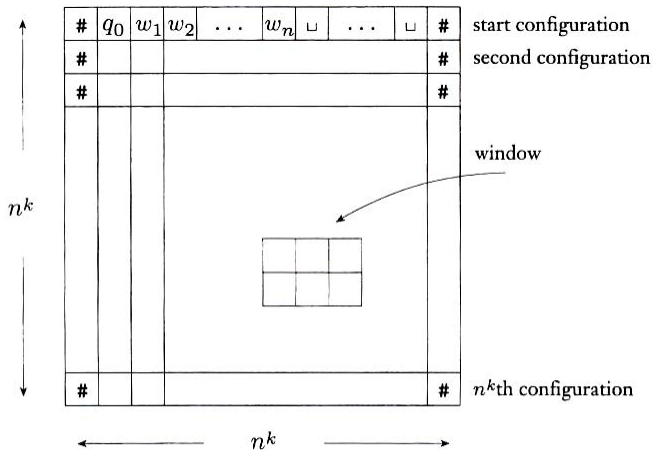


FIGURE 7.38

A tableau is an $n^k \times n^k$ table of configurations

The Cook-Levin Theorem (cont.)

- 🌐 If N accepts, ϕ has a satisfying assignment that corresponds to the accepting computation.
- 🌐 If N rejects, no assignment satisfies ϕ .
- 🌐 Let $C = Q \cup \Gamma \cup \{\#\}$. For $1 \leq i, j \leq n^k$ and $s \in C$, we have a variable $x_{i,j,s}$.
- 🌐 Variable $x_{i,j,s}$ is assigned 1 iff $cell[i, j]$ contains an s .
- 🌐 Construct ϕ as $\phi_{\text{cell}} \wedge \phi_{\text{start}} \wedge \phi_{\text{accept}} \wedge \phi_{\text{move}}$, where ...
 - ☀ Size of ϕ_{cell} : $O(n^{2k})$.
 - ☀ Size of ϕ_{start} : $O(n^k)$.
 - ☀ Size of ϕ_{accept} : $O(n^{2k})$.
 - ☀ Size of ϕ_{move} : $O(n^{2k})$.

The Cook-Levin Theorem (cont.)

$$\phi_{\text{cell}} = \bigwedge_{1 \leq i, j \leq n^k} \left[\left(\bigvee_{s \in C} x_{i,j,s} \right) \wedge \left(\bigwedge_{s, t \in C, s \neq t} (\overline{x_{i,j,s}} \vee \overline{x_{i,j,t}}) \right) \right] .$$

$$\begin{aligned} \phi_{\text{start}} = & x_{1,1,\#} \wedge x_{1,2,q_0} \wedge \\ & x_{1,3,w_1} \wedge x_{1,4,w_2} \wedge \cdots \wedge x_{1,n+2,w_n} \wedge \\ & x_{1,n+3,\sqcup} \wedge \cdots \wedge x_{1,n^k-1,\sqcup} \wedge x_{1,n^k,\#} . \end{aligned}$$

$$\phi_{\text{accept}} = \bigvee_{1 \leq i, j \leq n^k} x_{i,j,q_{\text{accept}}} .$$

$$\phi_{\text{move}} = \bigwedge_{1 \leq i \leq (n^k-1), 2 \leq j \leq (n^k-1)} (\text{window } (i, j) \text{ is legal}) .$$

The Cook-Levin Theorem (cont.)

- Assume that $\delta(q_1, a) = \{(q_1, b, R)\}$ and $\delta(q_1, b) = \{(q_2, c, L), (q_2, a, R)\}$.

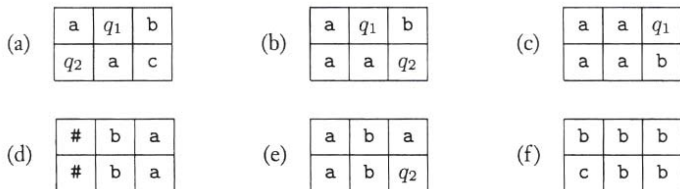


FIGURE 7.39
Examples of legal windows

Source: [Sipser 2006]

The Cook-Levin Theorem (cont.)

Assume that $\delta(q_1, a) = \{(q_1, b, R)\}$ and $\delta(q_1, b) = \{(q_2, c, L), (q_2, a, R)\}$.

(a)

a	b	a
a	a	a

(b)

a	q_1	b
q_1	a	a

(c)

b	q_1	b
q_2	b	q_2

FIGURE 7.40
Examples of illegal windows

Source: [Sipser 2006]

The Cook-Levin Theorem (cont.)

🌐 The condition “window (i, j) is legal” can be expressed as

$$\bigvee_{a_1, \dots, a_6} \text{legal} \left(x_{i,j-1,a_1} \wedge x_{i,j,a_2} \wedge x_{i,j+1,a_3} \wedge \right. \\ \left. x_{i+1,j-1,a_4} \wedge x_{i+1,j,a_5} \wedge x_{i+1,j+1,a_6} \right)$$

Another NP-Complete Problem

Theorem

3SAT is NP-complete.

- 🌐 The proof of the Cook-Levin theorem can be modified so that the Boolean formula involved is in conjunctive normal form.
- 🌐 A CNF-formula can be converted in polynomial time to a 3CNF-formula (with a length polynomially bounded in the length of the CNF-formula).
- 🌐 If a clause contains l literals $(a_1 \vee a_2 \vee \cdots \vee a_l)$, we can replace it with the $l - 2$ clauses

$$(a_1 \vee a_2 \vee z_1) \wedge (\bar{z}_1 \vee a_3 \vee z_2) \wedge (\bar{z}_2 \vee a_4 \vee z_3) \wedge \cdots \wedge (\bar{z}_{l-4} \vee a_{l-2} \vee z_{l-3}) \wedge (\bar{z}_{l-3} \vee a_{l-1} \vee a_l)$$

NP-Complete Problems

Theorem

CLIQUE is NP-complete.

CLIQUE is in NP and $3SAT \leq_P CLIQUE$.

NP-Complete Problems (cont.)

- 🌐 A *vertex cover* of an undirected graph G is a subset of the nodes where every edge of G touches one of those nodes.
- 🌐 $VERTEX_COVER = \{\langle G, k \rangle \mid G \text{ is an undirected graph that has a } k\text{-node vertex cover}\}$.

Theorem

VERTEX_COVER is NP-complete.

- 🌐 We show that $3SAT \leq_P VERTEX_COVER$.

NP-Complete Problems (cont.)

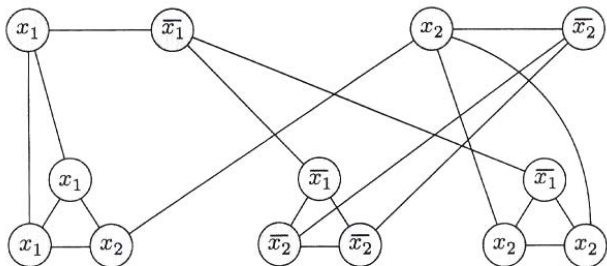


FIGURE 7.45

The graph that the reduction produces from

$$\phi = (x_1 \vee x_1 \vee x_2) \wedge (\bar{x}_1 \vee \bar{x}_2 \vee \bar{x}_2) \wedge (\bar{x}_1 \vee x_2 \vee x_2)$$

Source: [Sipser 2006]

Note: Let k be $m + 2l$, where m is the number of variables and l the number of clauses in ϕ .

NP-Complete Problems (cont.)

Theorem

HAMPATH is NP-complete.

We show that $3SAT \leq_P HAMPATH$.

NP-Complete Problems (cont.)

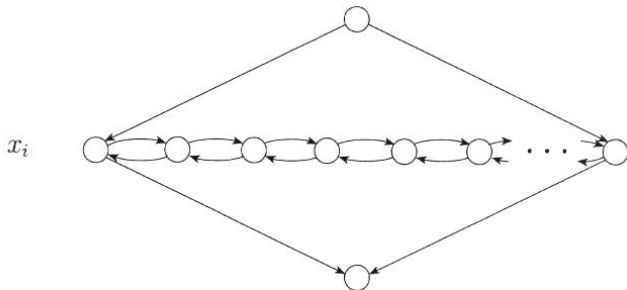


FIGURE 7.47
Representing the variable x_i as a diamond structure

Source: [Sipser 2006]



FIGURE 7.48
Representing the clause c_j as a node

Source: [Sipser 2006]

NP-Complete Problems (cont.)

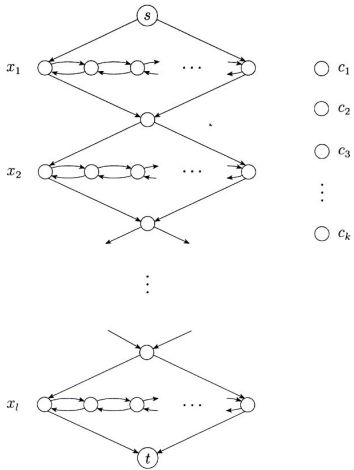


FIGURE 7.49
The high-level structure of G

Source: [Sipser 2006]

NP-Complete Problems (cont.)

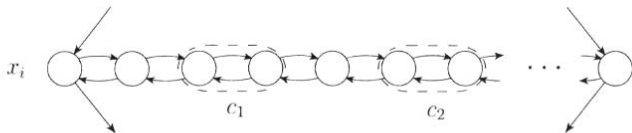


FIGURE 7.50

The horizontal nodes in a diamond structure

Source: [Sipser 2006]

NP-Complete Problems (cont.)

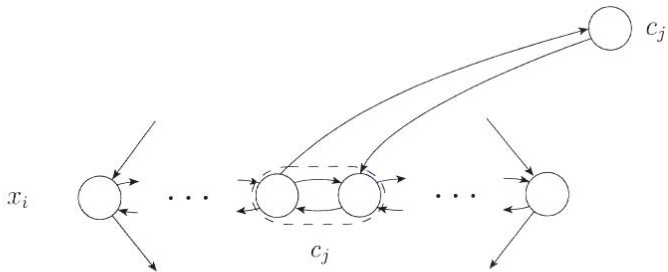


FIGURE 7.51

The additional edges when clause c_j contains x_i

Source: [Sipser 2006]

NP-Complete Problems (cont.)

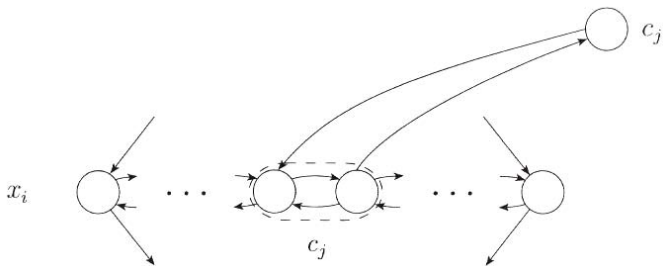


FIGURE 7.52

The additional edges when clause c_j contains \bar{x}_i

Source: [Sipser 2006]

NP-Complete Problems (cont.)

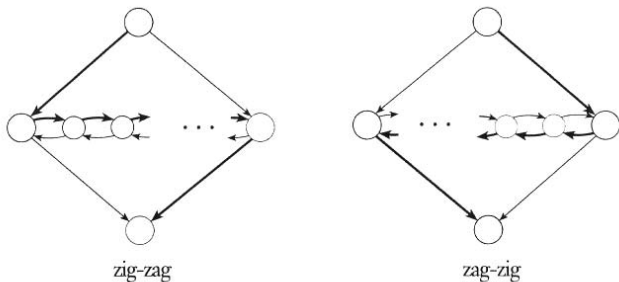


FIGURE 7.53

Zig-zagging and zag-zigging through a diamond, as determined by the satisfying assignment

Source: [Sipser 2006]

NP-Complete Problems (cont.)


- Let $UHAMPATH$ be the undirected version of the Hamiltonian path problem $HAMPATH$.

Theorem

$UHAMPATH$ is NP-complete.

- An input $\langle G, s, t \rangle$ for $HAMPATH$ is mapped to $\langle G', s', t' \rangle$ for $UHAMPATH$ as follows.
- Each node u of G , except for s and t , is replaced by a triple of nodes u^{in} , u^{mid} , and u^{out} in G' .
- Nodes s and t are replaced by node $s^{\text{out}} = s'$ and $t^{\text{in}} = t'$.
- Edges connect u^{mid} with u^{in} and u^{out} .
- An edge connects u^{out} and v^{in} if (u, v) is an edge of G .

NP-Complete Problems (cont.)

 $SUBSET_SUM = \{ \langle S, t \rangle \mid S = \{x_1, \dots, x_k\} \text{ and for some } \{y_1, \dots, y_l\} \subseteq S, \text{ we have } \sum y_i = t \}.$

Theorem

$SUBSET_SUM$ is NP-complete.

 We show that $3SAT \leq_P SUBSET_SUM$.

NP-Complete Problems (cont.)

	1	2	3	4	...	l	c_1	c_2	...	c_k
y_1	1	0	0	0	...	0	1	0	...	0
z_1	1	0	0	0	...	0	0	0	...	0
y_2		1	0	0	...	0	0	1	...	0
z_2		1	0	0	...	0	1	0	...	0
y_3			1	0	...	0	1	1	...	0
z_3			1	0	...	0	0	0	...	1
\vdots					\ddots	\vdots	\vdots		\vdots	\vdots
y_l						1	0	0	...	0
z_l						1	0	0	...	0
g_1							1	0	...	0
h_1							1	0	...	0
g_2								1	...	0
h_2								1	...	0
\vdots									\ddots	\vdots
g_k										1
h_k										1
t	1	1	1	1	...	1	3	3	...	3

FIGURE 7.57
Reducing 3SAT to SUBSET-SUM

Source: [Sipser 2006]