

## Turing Machines (Based on [Sipser 2006, 2013])

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**Turing Machines** 

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#### **Turing Machines**

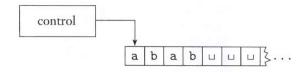


- Finite and pushdown automata are too restricted to serve as models of general-purpose computers.
- A Turing machine is similar to a finite automaton but with an unlimited and unrestricted memory—an infinite tape. It has a tape head that can read and write symbols and move around on the tape.
- A Turing machine can do everything that a real computer (as we know it) can do.
- Nonetheless, there are problems that no Turing machines, and hence no real computers, can solve.

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#### **Turing Machines (cont.)**





# FIGURE **3.1** Schematic of a Turing machine

Source: [Sipser 2006]

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#### An Example Turing Machine



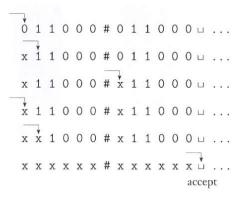
Let  $B = \{w \# w \mid w \in \{0, 1\}^*\}$ . A Turing machine  $M_1$  for B may work as follows:

- Scan the input to be sure that it contains a single # symbol. If not, *reject*.
- Zig-zag across the tape to corresponding positions on either side of the # symbol to check whether these positions contain the same symbol. If they do not, *reject*. Cross off symbols as they are checked.
- 3. When all symbols to the left of # have been crossed off, check for any remaining symbols to the right of the #. If any symbols remain, *reject*; otherwise, *accept*.

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**FIGURE 3.2** Snapshots of Turing machine  $M_1$  computing on input 011000#011000

Source: [Sipser 2006]

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#### Formal Definition of a TM



### Definition (3.3)

- A **Turing machine** is a 7-tuple  $(Q, \Sigma, \Gamma, \delta, q_0, q_{\text{accept}}, q_{\text{reject}})$ , where  $Q, \Sigma$ , and  $\Gamma$  are all finite sets and
  - 1. Q is the set of states,
  - 2.  $\Sigma$  is the input alphabet, where the *blank* symbol  $\Box \notin \Sigma$ ,
  - 3.  $\Gamma$  is the tape alphabet, where  $_{\sqcup}\in\Gamma$  and  $\Sigma\subseteq\Gamma$ ,
  - 4.  $\delta: Q \times \Gamma \longrightarrow Q \times \Gamma \times \{L, R\}$  is the transition function,
  - 5.  $q_0 \in Q$  is the start state,
  - 6.  $q_{ ext{accept}} \in Q$  is the accept state, and
  - 7.  $q_{
    m reject} \in Q$  is the reject state.

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#### Configurations of a TM



#### 😚 As a TM computes, changes occur in

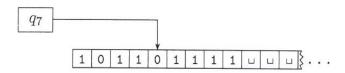
- 1. the current state,
- 2. the current tape contents, and
- 3. the current head location.
- A setting of these three items is called a configuration of the TM.
- We write uqv to denote the configuration where
  - 1. the current state is q,
  - 2. the current tape contents is uv, and
  - 3. the current head location is the first symbol of v.

(The tape contains only blanks following the last symbol of v.)

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#### Configurations of a TM (cont.)





# **FIGURE 3.4** A Turing machine with configuration $1011q_701111$

Source: [Sipser 2006]

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#### Configurations of a TM (cont.)



- $\mathbf{P}_{0}w$  is the *start configuration* on input w.
- $\bullet$   $uq_{accept}v$  is an accepting configuration.
- $uq_{
  m reject}v$  is a rejecting configuration.
- Accepting and rejecting configurations are halting configurations.

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#### **Computation of a TM**



Configuration  $C_1$  yields configuration  $C_2$  if the Turing machine can legally go from  $C_1$  to  $C_2$  in a single step:

- 1.  $uaq_ibv$  yields  $uq_jacv$  if  $\delta(q_i, b) = (q_j, c, L)$ .
- 2.  $uaq_ibv$  yields  $uacq_jv$  if  $\delta(q_i, b) = (q_j, c, R)$ .
- 3.  $q_i bv$  yields  $q_j cv$  if  $\delta(q_i, b) = (q_j, c, L)$ .
- 4.  $q_i bv$  yields  $cq_j v$  if  $\delta(q_i, b) = (q_j, c, R)$ .

 $(uaq_i \text{ is considered equivalent to } uaq_{i\sqcup}.)$ 

#### Computation of a TM (cont.)



- A Turing machine accepts input w if a sequence of configurations C<sub>1</sub>, C<sub>2</sub>, ..., C<sub>k</sub> exists where
  - 1.  $C_1$  the start configuration on w,
  - 2.  $C_i$  yields  $C_{i+1}$ , and
  - 3.  $C_k$  is an accepting configuration.
- The collection of strings that M accepts is the language of M, or the language recognized by M, denoted L(M).

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#### **Decidable Languages**



### Definition (3.5)

# A language is **Turing-recognizable** (also called *recursively enumerable*) if some Turing machine recognizes it.

- A Turing machine can fail to accept an input by entering the  $q_{\text{reject}}$  state and rejecting, or by looping (not halting).
- A machine is called a *decider* if it halts on all inputs. A decider that recognizes some language is said to *decide* the language.

### Definition (3.6)

A language is **Turing-decidable**, or simply **decidable** (also called *recursive*), if some Turing machine decides it.

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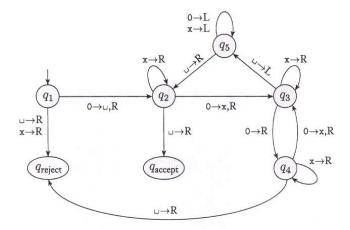
#### **Example Turing Machines**



 $A = \{0^{2^n} \mid n \ge 0\}$ . A decider  $M_2$  for A can be defined to work as follows:

- 1. Sweep left to right across the tape, crossing off every second 0.
- 2. If in stage 1 the tape contained a single 0, *accept*.
- 3. If in stage 1 the tape contained more than one 0 and the number of 0s was odd, *reject*.
- 4. Return head to the left-hand end of the tape.
- 5. Go to stage 1.





## **FIGURE 3.8** State diagram for Turing machine $M_2$

Source: [Sipser 2006]

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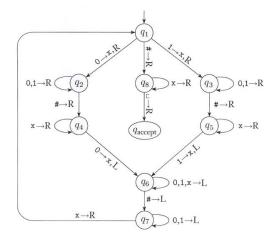
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 $B = \{w \# w \mid w \in \{0,1\}^*\}$ . A decider  $M_1$  for B can be defined to work as follows:

- Scan the input to be sure that it contains a single # symbol. If not, *reject*.
- Zig-zag across the tape to corresponding positions on either side of the # symbol to check whether these positions contain the same symbol. If they do not, *reject*. Cross off symbols as they are checked.
- When all symbols to the left of the # have been crossed off, check for any remaining symbols to the right of the #. If any symbols remain, *reject*; otherwise, *accept*.





**FIGURE 3.10** State diagram for Turing machine  $M_1$ 

Source: [Sipser 2006]

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 $C = \{a^i b^j c^k \mid i \times j = k \text{ and } i, j, k \ge 1\}$ . A decider  $M_3$  for C:

- 1. Scan the input to be sure that it is a member of *aa*\**bb*\**cc*\* and *reject* if it isn't.
- 2. Return the head to the left-hand end of the tape.
- 3. Cross off an *a* and scan to the right until a *b* occurs. Shuttle between the *b*'s and *c*'s, crossing off one of each until all *b*'s are gone.
- 4. Restore the crossed off *b*'s and repeat Stage 3 if there is another *a* to cross off.
- 5. If all *a*'s and *c*'s are crossed off, *accept*; otherwise, *reject*.



- $E = \{ \# x_1 \# x_2 \# \cdots \# x_l \mid x_i \in \{0, 1\}^* \text{ and } x_i \neq x_j \text{ (for } i \neq j) \}.$ 
  - 1. Place a mark on top of the leftmost tape symbol. If that symbol was not a #, *reject*.
  - Scan right to the next # and place a second mark on top of it. If no # occurs before a blank, *accept*.
  - Compare, by zig-zagging, the two strings to the right of the marked #'s. If they are equal, *reject*.
  - Move the second mark to the next # symbol. If not doable, move the first mark to the next # to its right and the second mark to the # after that. If not doable, accept.

5. Go to Stage 3.

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#### Variants of Turing Machines



- Alternative definitions of Turing machines abound, including versions with *multiple tapes* or with *nondeterminism*. They are called *variants* of the Turing machine model.
- The original model and its reasonable variants all have the same power—they recognize the same class of languages.
- To show that two models are equivalent, we simply need to show that we can *simulate* one by the other.

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#### **Multitape Turing Machines**



- A multitape Turing machine is like an ordinary Turing machine with several tapes.
- Each tape has its own head for reading and writing. Initially the input appears on tape 1 and the others start out blank.
- The transition function is changed to allow for reading, writing, and moving the heads on all the tapes simultaneously. Formally,

$$\delta: Q \times \Gamma^k \longrightarrow Q \times \Gamma^k \times \{L, R, S\}^k,$$

where k is the number of tapes.

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## Multitape Turing Machines (cont.)



Theorem (3.13)

*Every multitape Turing machine has an equivalent single-tape Turing machine.* 

A single tape TM S can simulate a k-tape M:

1. S "formats" its tape to represent all k tapes of M:

$$\# \stackrel{\bullet}{w_1} w_2 \cdots w_n \# \stackrel{\bullet}{\sqcup} \# \stackrel{\bullet}{\sqcup} \# \cdots \#$$

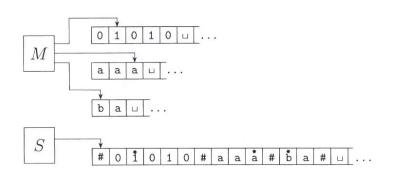
- 2. To simulate a single move of M, S scans its tape to determine the symbols under the virtual heads. Then S makes a second pass to update the tapes according to M's transition function.
- 3. Whenever a virtual head is moved to the right onto a #, S writes a blank symbol on this tape cell and shifts the tape contents from this cell one unit to the right.

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#### Multitape Turing Machines (cont.)





#### FIGURE **3.14** Representing three tapes with one

Source: [Sipser 2006]

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#### **Nondeterministic Turing Machines**



- A nondeterministic Turing machine is defined in the expected way.
- The transition function of a nondeterministic TM has the form

$$\delta: Q \times \Gamma \longrightarrow \mathcal{P}(Q \times \Gamma \times \{L, R\}).$$

- The computation of a nondeterministic TM is a tree whose branches correspond to different possibilities for the machine.
- If some branch of the computation leads to the accept state, the machine accepts its input.

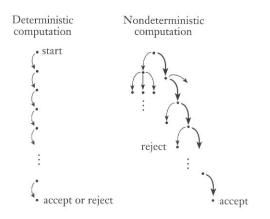
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#### Nondeterministic Turing Machines (cont.)





#### FIGURE 1.28

Deterministic and nondeterministic computations with an accepting branch

Source: [Sipser 2006]

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Nondeterministic Turing Machines (cont.)



### Theorem (3.16)

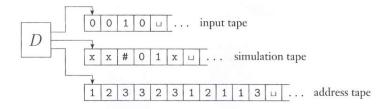
Every nondeterministic TM has an equivalent deterministic TM.

- The idea is to have a deterministic TM D try all possible branches of the given nondeterministic TM N's computation.
- D searches, in a breadth first manner, N's computation tree for an accepting configuration.

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#### Nondeterministic Turing Machines (cont.)





#### FIGURE 3.17

Deterministic TM D simulating nondeterministic TM N

Source: [Sipser 2006]

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D has three tapes:

- Tape 1 always contains the input string and is never altered.
- Tape 2 maintains a copy of N's tape on some branch of its nondeterministic computation.
- Tape 3 keeps track of D's location in N's nondeterministic computation tree.

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#### **Enumerators**

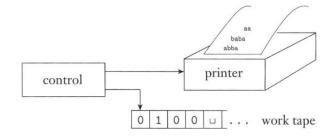


- Some people use the term **recursively enumerable** language for Turing-recognizable language.
- An enumerator is a Turing machine with an attached printer. Every time the Turing machine wants to add a string to the output list, it sends the string to the printer.
- The language enumerated by an enumerator E is the collection of all the strings that E eventually prints out.
- Moreover, E may generate the strings of the language in any order, possibly with repetitions.

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#### Enumerators (cont.)





#### FIGURE **3.20** Schematic of an enumerator

Source: [Sipser 2006]

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#### Enumerators (cont.)



#### Theorem (3.21)

A language is Turing-recognizable if and only if some enumerator enumerates it.

To recognize the language enumerated by E, a TM M works as follows:

- 1. Run E. Every time that E outputs a string, compare it with the input w.
- 2. If *w* appears in the output of *E*, *accept*.

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To enumerate the language recognized by M, an enumerator E works as follows:

- 1. Repeat Steps 2 and 3 for  $i = 1, 2, 3, \ldots$
- 2. Run *M* for *i* steps on each input,  $s_1, s_2, \ldots, s_i$ .
- 3. If any computations accept, print out the corresponding  $s_j$ .

#### **Hilbert's Tenth Problem**



- A polynomial is a sum of terms, where each term is a product of variables and a constant.
- For example,  $6x^3yz^2 + 3xy^2 x^3 10$  is a polynomial with four terms over variables x, y, and z.
- Let  $D = \{p \mid p \text{ is a polynomial with an integral root}\}$ .
- Hilbert's tenth problem (rephrased): "Is there an algorithm for determining D?"
- Proving that no algorithm exists for a particular task requires a precise definition of algorithm.

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## Hilbert's Tenth Problem: The Original Statement

**10. Determination of the solvability of a Diophantine equation.** Given a diophantine equation with any number of unknown quantities and with rational integral numerical coefficients: To devise a process according to which it can be determined by a finite number of operations whether the equation is solvable in rational integers.

Note: The kind of process that Hilbert looked after is "effective procedure" and is nowadays referred to as "computer algorithm" or simply "algorithm."

#### "Effective" Procedures



A procedure M is considered effective if the following hold:

- 1. M contains a finite number of exact instructions (each being expressed with a finite number of symbols);
- 2. M will, if carried out without error, always produce the desired result in a finite number of steps;
- 3. M can (in practice or in principle) be carried out by a human being unaided by any machinery save paper and pencil;
- 4. M demands no insight or ingenuity on the part of the human being carrying it out.

Note: excerpted from "The Church-Turing Thesis" of *Stanford Encyclopedia of Philosophy*.

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**Turing Machines** 

#### The Definition of Algorithm



- All models of a general-purpose computer turn out to be at best equivalent in power to the Turing machine, as long as they satisfy certain reasonable requirements.
- This has an important philosophical corollary: Even though there are many different computational models, the class of algorithms that they describe is unique.
- The Church-Turing thesis says that the intuitive notion of an algorithm corresponds to the formal definition of a Turing machine.

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#### **Describing Turing Machines**



Three possible levels of detail:

- A formal description spells out in full the Turing machine's states, transition function, and so on.
- In an *implementation description*, we use natural language prose to describe the way that the Turing machine moves its head and the way that it stores data on its tape.
- In a high-level description, we use natural language prose to describe an algorithm, ignoring the implementation model.

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#### An Example High-Level Description



Let  $A = \{ \langle G \rangle \mid G \text{ is a connected undirected graph} \}$ . The following is a high-level description of a TM *M* that decides *A*:

M = "On input  $\langle G \rangle$ , the encoding of a graph G:

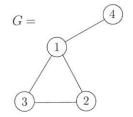
- 1. Select the first node of G and mark it.
- 2. Repeat Step 3 until no new nodes are marked.
- 3. For each node in *G*, mark it if it is attached by an edge to a node that is already marked.
- Scan all the nodes of G to determine whether they all are marked. If they are, *accept*; otherwise, *reject*."

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#### An Example High-Level Description (cont.)







**FIGURE 3.24** A graph G and its encoding  $\langle G \rangle$ 

Source: [Sipser 2006]

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