

Reducibility

(Based on [Sipser 2006, 2013])

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Introduction



- A reduction is a way of converting one problem into another problem in such a way that a solution to the second problem can be used to solve the first problem.
- If a problem A reduces (is reducible) to another problem B, we can use a solution to B to solve A.
- Reducibility says nothing about solving A or B alone, but only about the solvability of A in the presence of a solution to B.
- Reducibility is the primary method for proving that problems are computationally unsolvable.
- Suppose that A is reducible to B. If B is decidable, then A is decidable; equivalently, if A is undecidable, then B is undecidable.

The Halting Problem



• $HALT_{TM} = \{\langle M, w \rangle \mid M \text{ is a TM and } M \text{ halts on } w\}.$

Theorem (5.1)

$HALT_{TM}$ is undecidable.

- lacktriangledown The idea is to reduce the acceptance problem $A_{\rm TM}$ (shown to be undecidable) to $HALT_{\rm TM}$.
- igoplus Assume toward a contradiction that a TM R decides $HALT_{\mathrm{TM}}$.
- lacktriangle We could then construct a decider S for $A_{
 m TM}$ as follows.

The Halting Problem (cont.)



- S = "On input $\langle M, w \rangle$, an encoding of a TM M and a string w:
 - 1. Run TM R on input $\langle M, w \rangle$.
 - 2. If *R* rejects, *reject*.
 - 3. If R accepts, simulate M on w until it halts.
 - 4. If *M* has accepted, *accept*; it *M* has rejected, *reject*."

Undecidable Problems



 $igoplus E_{\mathrm{TM}} = \{ \langle M \rangle \mid M \text{ is a TM and } L(M) = \emptyset \}.$

Theorem (5.2)

 $E_{\rm TM}$ is undecidable.

lacktriangledown Assuming that a TM R decides E_{TM} , we construct a decider S for A_{TM} as follows.



- S = "On input $\langle M, w \rangle$:
 - 1. Construct the following TM M_1 .
 - $M_1 =$ "On input x:
 - 1.1 If $x \neq w$, reject.
 - 1.2 If x = w, run M on input w and accept if M accepts w."
 - 2. Run R on input $\langle M_1 \rangle$.
 - 3. If R accepts, reject; if R rejects, accept."



REGULAR_{TM} = {⟨M⟩ | M is a TM and L(M) is a regular language}.

Theorem (5.3)

$REGULAR_{TM}$ is undecidable.

Assuming that a TM R decides $REGULAR_{TM}$, we construct a decider S for A_{TM} as follows.



- S = "On input $\langle M, w \rangle$:
 - 1. Construct the following TM M_2 .
 - $M_2 =$ "On input x:
 - 1.1 If x has the form $0^n 1^n$, accept.
 - 1.2 If x does not have this form, run M on input w and accept if M accepts w."
 - 2. Run R on input $\langle M_2 \rangle$.
 - 3. If R accepts, accept; if R rejects, reject."



 $lacksquare{}{} EQ_{ ext{TM}} = \{\langle M_1, M_2
angle \mid M_1 ext{ and } M_2 ext{ are TMs and } L(M_1) = L(M_2)\}.$

Theorem (5.4)

EQ_{TM} is undecidable.

- \bigcirc Assume that a TM R decides EQ_{TM} .
- lacktriangle We construct a decider S for $E_{
 m TM}$ as follows.
- $S = \text{"On input } \langle M \rangle$:
 - 1. Run R on input $\langle M, M_1 \rangle$, where M_1 is a TM that rejects all inputs.
 - 2. If R accepts, accept; if R rejects, reject."

Rice's Theorem



Theorem

Any "nontrivial" property about the languages recognized by Turing machines is undecidable.

- Note 1: The theorem considers only properties that do not distinguish equivalent Turing machine descriptions.
- Note 2: A property is *nontrivial* if it is satisfied by some, but not all, Turing machine descriptions.

Computation Histories



Definition (5.5)

An accepting computation history for M on w is a sequence of configurations C_1, C_2, \dots, C_l , where

- 1. C_1 is the start configuration,
- 2. C_l is an accepting configuration, and
- 3. C_i yields C_{i+1} , $1 \le i \le l-1$.

A rejecting computation history for M on w is defined similarly, except that C_l is a rejecting configuration.

- Computation histories are finite sequences.
- Deterministic machines have at most one computation history on any given input.

Linear Bounded Automata



Definition (5.6)

A *linear bounded automaton* (LBA) is a restricted type of Turing machine wherein the tape head is not permitted to move off the portion of the tape containing the input.

So, an LBA is a TM with a limited amount of memory. It can only solve problems requiring memory that can fit within the tape used for the input.

(Note: Using a tape alphabet larger than the input alphabet allows the available memory to be increased up to a constant factor.)

Linear Bounded Automata (cont.)



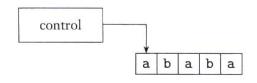


FIGURE **5.7** Schematic of a linear bounded automaton

Source: [Sipser 2006]

Linear Bounded Automata (cont.)



Despite their memory constraint, LBAs are quite powerful.

Lemma (5.8)

Let M be an LBA with q states and g symbols in the tape alphabet. There are exactly qngⁿ distinct configurations of M for a tape of length n.

Decidable Problems about LBAs



 \bigcirc $A_{LBA} = \{\langle M, w \rangle \mid M \text{ is an LBA that accepts } w\}.$

Theorem (5.9)

A_{LBA} is decidable.

- L = "On input $\langle M, w \rangle$, an encoding of an LBA M and a string w:
 - 1. Simulate M on input w for qng^n steps or until it halts.
 - 2. If *M* has halted, *accept* if it has accepted and *reject* if it has rejected. If *M* has not halted, *reject*."

Undecidable Problems about LBAs



• $E_{LBA} = \{ \langle M \rangle \mid M \text{ is an LBA where } L(M) = \emptyset \}.$

Theorem (5.10)

E_{LBA} is undecidable.

- Assuming that a TM R decides $E_{\rm LBA}$, we construct a decider S for $A_{\rm TM}$ as follows.
- $igoplus S = \text{``On input } \langle M, w \rangle$, an encoding of a TM M and a string w:
 - 1. Construct an LBA B from $\langle M, w \rangle$ that, on input x, decides whether x is an accepting computation history for M on w.
 - 2. Run R on input $\langle B \rangle$.
 - 3. If R rejects, accept; if R accepts, reject."

Undecidable Problems about LBAs (cont.)





FIGURE **5.11** A possible input to *B*

Source: [Sipser 2006]

Three conditions of an accepting computation history:

- \bigcirc C_1 is the start configuration.
- \bigcirc C_l is an accepting configuration.
- C_i yields C_{i+1} , for every i, $1 \le i < I$.

Undecidable Problems about LBAs (cont.)



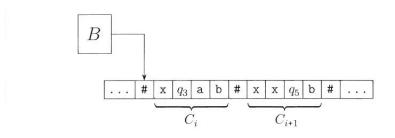


FIGURE 5.12 LBA B checking a TM computation history

Source: [Sipser 2006]

Undecidable Problems about CFGs



Theorem (5.13)

ALL_{CFG} is undecidable.

- For a TM M and an input w, we construct a CFG G (by first constructing a PDA) to generate all strings that are not accepting computation histories for M on w.
- That is, G generates all strings if and only if M does not accept w.
- lacktriangle If $ALL_{
 m CFG}$ were decidable, then $A_{
 m TM}$ would be decidable.

Undecidable Problems about CFGs (cont.)



The PDA for recognizing computation histories that are not accepting works as follows.

The input is regarded as a computation history of the form:

$$\#C_1\#C_2^R\#C_3\#C_4^R\#\cdots\#C_l\#$$

where C_i^R denotes the reverse of C_i .

- The PDA nondeterministically chooses to check if one of the following conditions holds for the input:
 - C₁ is not the start configuration.
 - C_I is not an accepting configuration.
 - $\overset{*}{\gg}$ C_i does not yield C_{i+1} , for some i, $1 \leq i < l$.
- It also accepts an input that is not in the proper form of a computation history.

Undecidable Problems about CFGs (cont.)



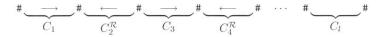


FIGURE 5.14

Every other configuration written in reverse order

Source: [Sipser 2006]

The Post Correspondence Problem



Consider a collection of dominos such as follows:

$$\left\{ \left[\frac{b}{ca} \right], \left[\frac{a}{ab} \right], \left[\frac{ca}{a} \right], \left[\frac{abc}{c} \right] \right\}$$

• A *match* is a list of these dominos (repetitions permitted) where the string of symbols on the top is the same as that on the bottom. Below is a match:

$$\left[\begin{array}{c} a \\ \overline{ab} \end{array}\right] \left[\begin{array}{c} b \\ \overline{ca} \end{array}\right] \left[\begin{array}{c} a \\ \overline{a} \end{array}\right] \left[\begin{array}{c} a \\ \overline{ab} \end{array}\right] \left[\begin{array}{c} abc \\ \overline{c} \end{array}\right]$$

The Post Correspondence Problem (cont.)



- The Post correspondence problem (PCP) is to determine whether a collection of dominos has a match.
- More formally, an instance of the PCP is a collection of dominos:

$$P = \left\{ \left[\frac{t_1}{b_1} \right], \left[\frac{t_2}{b_2} \right], \cdots, \left[\frac{t_k}{b_k} \right] \right\}$$

- A match is a sequence i_1, i_2, \dots, i_l such that $t_{i_1}t_{i_2}\cdots t_{i_l}=b_{i_1}b_{i_2}\cdots b_{i_l}$.
- $PCP = \{\langle P \rangle \mid P \text{ is an instance of the Post correspondence problem with a match} \}.$

Undecidability of the PCP



Theorem (5.15)

PCP is undecidable

- lacktriangledown The proof is by reduction from A_{TM} via accepting computation histories.
- From any TM M and input w we can construct an instance P where a match is an accepting computation history for M on w.
- Assume that a TM R decides PCP.
- \bullet A decider S for $A_{\rm TM}$ constructs an instance of the PCP that has a match if and only if M accepts w, as follows.

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- 1. Add $\left[\frac{\#}{\#q_0w_1w_2\cdots w_n\#}\right]$ as $\left[\frac{t_1}{b_1}\right]$.
- 2. For every $a,b\in\Gamma$ and every $q,r\in Q$ where $q\neq q_{\mathrm{reject}}$,

if
$$\delta(q, a) = (r, b, R)$$
, add $\left[\frac{qa}{br}\right]$.

3. For every $a,b,c\in\Gamma$ and every $q,r\in Q$ where $q\neq q_{\mathrm{reject}}$,

if
$$\delta(q, a) = (r, b, L)$$
, add $\left| \frac{cqa}{rcb} \right|$.

- 4. For every $a \in \Gamma$, add $\left[\frac{a}{a}\right]$.
- 5. Add $\begin{bmatrix} \# \\ \# \end{bmatrix}$ and $\begin{bmatrix} \# \\ \sqcup \# \end{bmatrix}$.



A start configuration (by Part 1):

Suppose $\delta(q_0,0)=(q_7,2,R)$. With Parts 2-5, the match may be extended to:



6. For every $a \in \Gamma$, add $\left[\begin{array}{c} aq_{\mathrm{accept}} \\ \hline q_{\mathrm{accept}} \end{array}\right]$ and $\left[\begin{array}{c} q_{\mathrm{accept}} a \\ \hline q_{\mathrm{accept}} \end{array}\right]$.

7. Add $\left[\frac{q_{\text{accept}} \# \#}{\#}\right]$.

$$\# [q_a \# \#]$$
 $\# [q_a \#] \#]$



To ensure that a match starts with
$$\left\lfloor \frac{t_1}{b_1} \right\rfloor$$
,
$$S \text{ converts the collection } \left\{ \left\lceil \frac{t_1}{b_1} \right\rceil, \left\lceil \frac{t_2}{b_2} \right\rceil, \cdots, \left\lceil \frac{t_k}{b_k} \right\rceil \right\} \text{ to } \left\{ \left\lceil \frac{\star t_1}{\star b_1 \star} \right\rceil, \left\lceil \frac{\star t_1}{b_1 \star} \right\rceil, \left\lceil \frac{\star t_2}{b_2 \star} \right\rceil, \cdots, \left\lceil \frac{\star t_k}{b_k \star} \right\rceil, \left\lceil \frac{\star \diamondsuit}{\diamondsuit} \right\rceil \right\}$$

where

$$\begin{array}{rcl}
\star u & = & *u_1 * u_2 * u_3 * \cdots * u_n \\
u \star & = & u_1 * u_2 * u_3 * \cdots * u_n * \\
\star u \star & = & *u_1 * u_2 * u_3 * \cdots * u_n *
\end{array}$$

Computable Functions



♠ A Turing machine computes a function by starting with the input to the function on the tape and halting with the output of the function on the tape.

Definition (5.17)

A function $f: \Sigma^* \longrightarrow \Sigma^*$ is a **computable function** if some Turing machine M, on every input w, halts with just f(w) on its tape.

- For example, all usual arithmetic operations on integers are computable functions.
- Computable functions may be transformations of machine descriptions.

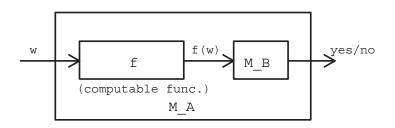
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Mapping (Many-One) Reducibility



Definition (5.20)

Language A is mapping reducible (many-one reducible) to language B, written $A \leq_m B$, if there is a computable function $f: \Sigma^* \longrightarrow \Sigma^*$. where for every $w, w \in A \iff f(w) \in B$.



This provides a way to convert questions about membership testing in A to membership testing in B.

Mapping (Many-One) Reducibility (cont.)



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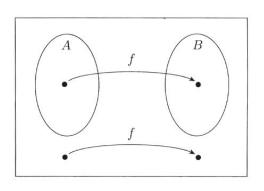
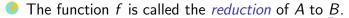


FIGURE **5.21** Function f reducing A to B

Source: [Sipser 2006]



Reducibility and Decidability



Theorem (5.22)

If $A \leq_m B$ and B is decidable, then A is decidable.

- ◆ Let M be a decider for B and f a reduction from A to B. A decider N for A works as follows.
- \bigcirc N = "On input w:
 - 1. Compute f(w).
 - 2. Run M on input f(w) and output whatever M outputs."

Corollary (5.23)

If $A \leq_m B$ and A is undecidable, then B is undecidable.

Reducibility and Decidability (cont.)



Theorem

$HALT_{TM}$ is undecidable.

• We show that $A_{\rm TM} \leq_m HALT_{\rm TM}$, i.e., a computable function f exists (as defined by F below) such that

$$\langle M, w \rangle \in A_{\mathrm{TM}} \iff f(\langle M, w \rangle) \in HALT_{\mathrm{TM}}.$$

- \heartsuit $F = \text{"On input } \langle M, w \rangle$:
 - 1. Construct the following machine M'.
 - M' = "On input x:
 - 1.1 Run *M* on *x*.
 - 1.2 If *M* accepts, *accept*.
 - 1.3 If *M* rejects, enter a loop.
 - 2. Output $\langle M', w \rangle$."

Reducibility and Recognizability



Theorem (5.28)

If $A \leq_m B$ and B is Turing-recognizable, then A is Turing-recognizable.

Corollary (5.29)

If $A \leq_m B$ and A is not Turing-recognizable, then B is not Turing-recognizable.

Corollary

If $A \leq_m B$ (i.e., $\overline{A} \leq_m \overline{B}$) and A is not co-Turing-recognizable, then B is not co-Turing-recognizable.

Note: "A is not co-Turing-recognizable" is the same as "A is not Turing-recognizable". 4 D > 4 D > 4 E > 4 E > E 9 Q P

Reducibility and Recognizability (cont.)



Theorem (5.30 Part One)

 EQ_{TM} is not Turing-recognizable.

- $igoplus ext{We show that } A_{ ext{TM}} ext{ reduces to } \overline{EQ_{ ext{TM}}}, ext{ i.e., } \overline{A_{ ext{TM}}} ext{ reduces to } EQ_{ ext{TM}}.$
- lacktriangledown Since $\overline{A_{\mathrm{TM}}}$ is not Turing-recognizable, EQ_{TM} is not Turing-recognizable.
- \bigcirc F = "On input $\langle M, w \rangle$:
 - 1. Construct the following two machines M_1 and M_2 . M1 = "On any input: reject."
 - M2 = "On any input: Run M on w. If it accepts, accept."
 - 2. Output $\langle M_1, M_2 \rangle$."

Reducibility and Recognizability (cont.)



Theorem (5.30 Part Two)

 $EQ_{\rm TM}$ is not co-Turing-recognizable.

- \bullet We show that $A_{\rm TM}$ reduces to $EQ_{\rm TM}$.
- Since $A_{\rm TM}$ is not co-Turing-recognizable, $EQ_{\rm TM}$ is not co-Turing-recognizable.
- $G = \text{"On input } \langle M, w \rangle$:
 - 1. Construct the following two machines M_1 and M_2 .
 - M1 = "On any input: accept."
 - M2 = "On any input: Run M on w. If it accepts, accept."
 - 2. Output $\langle M_1, M_2 \rangle$."