

## Time Complexity and NP-Completeness

(Based on [Sipser 2006, 2013])

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#### **Time Complexity**



- Decidability of a problem merely indicates that the problem is computationally solvable in principle.
- It may not be solvable *in practice* if the solution requires an inordinate amount of time or memory.
- We shall introduce a way of measuring the time used to solve a problem.
- We then show how to classify problems according to the amount of time required.

#### **Measuring Time Complexity**



- Let  $A = \{0^k 1^k \mid k \ge 0\}$ .
- How much time does a single-tape TM need to decide A?
- ightharpoonup 
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  m A single-tape TM  $M_1$  for A works as follows:
  - 1. Scan across the tape and *reject* if a 0 appears to the right of a 1.
  - 2. Repeat Stage 3 if both 0s and 1s remain on the tape.
  - 3. Scan across the tape, crossing off a single 0 and a single 1.
  - 4. If no 0s or 1s remain on the tape, accept; otherwise, reject.

## Measuring Time Complexity (cont.)



• We shall compute the running time of an algorithm purely as a function of the length of the string representing the input.

## Definition (7.1)

Let M be a deterministic TM that halts on all inputs.

The **running time** or **time complexity** of M is the function  $f: \mathcal{N} \longrightarrow \mathcal{N}$ , where f(n) is the *maximum* number of steps that M uses on any input of length n.

If f(n) is the running time of M, we say that M runs in time f(n) or that M is an f(n) time Turing machine.

#### **Asymptotic Analysis**



- The exact running time of an algorithm is a complex expression.
- We seek to understand the running time of the algorithm when it is run on large inputs.
- We do so by considering only the highest-order term of the expression of its running time (discarding the coefficient of that term and any lower-order terms).
- For example, if  $f(n) = 6n^3 + 2n^2 + 20n + 45$ , we say that f is asymptotically at most  $n^3$ .
- The asymptotic notation, or big-O notation, for describing this relationship is  $f(n) = O(n^3)$ .

#### **Asymptotic Bounds**



• Let  $\mathcal{R}^+$  be the set of positive real numbers.

#### Definition (7.2)

Let f and g be two functions  $f, g : \mathcal{N} \longrightarrow \mathcal{R}^+$ .

We say that f(n) = O(g(n)) if positive integers c and  $n_0$  exist so that, for every integer  $n \ge n_0$ ,

$$f(n) \leq cg(n)$$
.

When f(n) = O(g(n)), we say that g(n) is an (asymptotic) upper bound for f(n).

## **Asymptotic Bounds (cont.)**



- Intuitively, f(n) = O(g(n)) means that f is less than or equal to g if we disregard differences up to a constant factor.
- Big-O notation gives a way to say that one function is asymptotically no more than another.
- **⊗** Big-O notation can appear in arithmetic expressions such as  $O(n^2) + O(n)$  (=  $O(n^2)$ ) and  $O(n^2)$ .
- $\odot$  Bounds of the form  $n^c$ , for c > 0, are called *polynomial bounds*.
- **Solution** Solution  $2^{n^c}$ , for c > 0, are called *exponential bounds*.

## **Asymptotic Bounds (cont.)**



To say that one function is asymptotically *less than* another, we use small-o notation.

#### Definition (7.5)

Let f and g be two functions  $f, g : \mathcal{N} \longrightarrow \mathcal{R}^+$ .

We say that f(n) = o(g(n)) if

$$\lim_{n\to\infty}\frac{f(n)}{g(n)}=0.$$

ightharpoonup For example,  $\sqrt{n} = o(n)$  and  $n \log n = o(n^2)$ .

#### **Analyzing Algorithms**



- $\odot$  Consider the single-tape TM  $M_1$  for deciding  $\{0^k1^k \mid k \geq 0\}$ .
- Stage 1 takes 2n = O(n) steps: n steps to scan the input and another n steps to reposition the head at the left-hand end of the tape.
- Each execution of Stage 3 takes 2n steps and at most n/2 such executions are required. So, Stages 2 and 3 take at most (n/2)2n (=  $O(n^2)$ ) steps.
- $\bigcirc$  Stage 4 takes n (= O(n)) steps.

#### **Complexity Classes**



#### Definition (7.7)

Let  $t: \mathcal{N} \longrightarrow \mathcal{N}$  be a function.

Define the **time complexity class** TIME(t(n)) to be { $L \mid L$  is a language decided by an O(t(n)) time Turing machine}.

- $\bullet$   $A (= \{0^k 1^k \mid k \ge 0\}) \in TIME(n^2)$ , since  $M_1$  decides A in time  $O(n^2)$ .
- Is there a machine that decides A asymptotically faster?
- In other words, is A in TIME(t(n)) for  $t(n) = o(n^2)$ ?

## **Complexity Classes (cont.)**



- Below is a faster single-tape TM for deciding  $A = \{0^k 1^k \mid k > 0\}$ .
- $M_2 =$  "On input string w:
  - 1. Same as Stage 1 of  $M_1$ .
  - 2. Repeat Stages 3 and 4 if both 0s and 1s remain on the tape.
  - 3. If the total number of 0s and 1s remaining is odd, *reject*.
  - 4. Cross off every other 0 and then every other 1.
  - 5. If no 0s or 1s remain on the tape, accept; otherwise, reject."
- The running time of  $M_2$  is  $O(n \log n)$  and hence  $A \in \text{TIME}(n \log n)$ .

## Complexity Classes (cont.)



- Below is an even faster TM, which has *two* tapes, for deciding A (=  $\{0^k 1^k \mid k \ge 0\}$ ).
- $M_3 =$  "On input string w:
  - 1. Same as Stage 1 of  $M_1$ .
  - 2. Copy the 0s on Tape 1 onto Tape 2.
  - Scan across the 1s on Tape 1 until the end of the input, crossing off a 0 on Tape 2 for each 1. If there are not enough 0s, reject.
  - 4. If all the 0s have now been crossed off, *accept*; otherwise, *reject*."
- $\bigcirc$  The running time of  $M_3$  is O(n).
- This indicates that the complexity of A depends on the model of computation selected.

## **Complexity Relationships among Models**



#### Theorem (7.8)

Let t(n) be a function, where  $t(n) \ge n$ . Then every t(n) time multitape Turing machine has an equivalent  $O(t^2(n))$  time single-tape Turing machine.

- Let M be a k-tape TM running in t(n) time.
- A single-tape TM S simulating M requires O(t(n)) tape cells to store the current contents of M's tapes and the respective head positions.
- $\bigcirc$  It takes O(t(n)) time for S to simulate each of M's t(n) steps.
- $\bigcirc$  So, the running time of S is  $t(n) \times O(t(n)) = O(t^2(n))$ .

# Complexity Relationships among Models (cont.)

#### Definition (7.9)

The running time of a nondeterministic TM N is the function  $f: \mathcal{N} \longrightarrow \mathcal{N}$ , where f(n) is the *maximum* number of steps that N uses on *any* branch of its computation on any input of length n.

#### Theorem (7.11)

Let t(n) be a function, where  $t(n) \ge n$ . Then every t(n) time nondeterministic single-tape Turing machine has an equivalent  $2^{O(t(n))}$  time deterministic single-tape Turing machine.

# Complexity Relationships among Models (cont.)

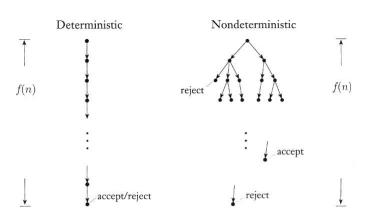


FIGURE **7.10**Measuring deterministic and nondeterministic time

Source: [Sipser 2006]

# Complexity Relationships among Models (cont.)

- $\odot$  Every branch of N's computation tree has a length of at most t(n).
- The total number of nodes in the tree is  $O(b^{t(n)})$ , where b is the maximum number of legal choices given by N's transition function.
- The running time of a simulating deterministic 3-tape TM is  $O(t(n)) \times O(b^{t(n)}) = 2^{O(t(n))}$ .
- The running time of a simulating deterministic single-tape TM is  $(2^{O(t(n))})^2 = 2^{O(2t(n))} = 2^{O(t(n))}$ .

#### **Polynomial Time**



- For our purposes, *polynomial differences* in running time are considered to be small, whereas *exponential differences* are considered to be large.
- Exponential time algorithms typically arise when we solve problems by searching through a space of solutions, called brute-force search.
- All "reasonable" deterministic computational models are polynomially equivalent, i.e., any one of them can simulate another with a polynomial increase in running time.
- We shall focus on aspects of time complexity theory that are unaffected by polynomial differences in running time.

#### The Class P



#### Definition (7.12)

**P** is the class of languages that are decidable in *polynomial* time on a *deterministic* single-tape Turing machine. In other words,

$$P = \bigcup_{k} \mathrm{TIME}(n^{k})$$

- P is invariant for all models of computing that are polynomially equivalent to the deterministic single-tape Turing machine.
- P roughly corresponds to the class of problems that are "realistically solvable" on a computer.

#### **Analyzing Algorithms for P Problems**



- Suppose that we have given a high-level description of a polynomial time algorithm with stages. To analyze the algorithm,
  - 1. we first give a polynomial upper bound on the number of stages that the algorithm uses, and
  - 2. we then show that the individual stages can be implemented in polynomial time on a reasonable deterministic model.
- A "reasonable" encoding method for problems should be used, which allows for polynomial time encoding and decoding of objects into natural internal representation or into other reasonable encodings.

#### Problems in P



**⊙**  $PATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph that has a directed path from s to t}.$ 

#### Theorem (7.14)

#### $PATH \in P$ .

- $\bigcirc$   $M = \text{"On input } \langle G, s, t \rangle$ :
  - 1. Place a mark on node s.
  - 2. Repeat Stage 3 until no additional nodes are marked.
  - 3. Scan all the edges of G. If an edge (a, b) is found going from a marked node a to an unmarked node b, mark node b.
  - 4. If t is marked, accept; otherwise, reject."

#### Problems in P (cont.)



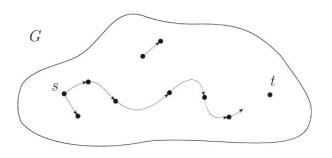


FIGURE 7.13

The PATH problem: Is there a path from s to t?

Source: [Sipser 2006]

#### Problems in P (cont.)



• RELPRIME =  $\{\langle x, y \rangle \mid x \text{ and } y \text{ are relatively prime} \}$ .

## Theorem (7.15)

#### $RELPRIME \in P$ .

- $\bigcirc$  The input size of a number x is  $\log x$  (not x itself).
- $E = \text{"On input } \langle x, y \rangle$ :
  - 1. Repeat Stages 2 and 3 until y = 0.
  - 2. Assign  $x \leftarrow x \mod y$ .
  - 3. Exchange x and y.
  - 4. Output *x*."
- $\bigcirc$  R = "On input  $\langle x, y \rangle$ :
  - 1. Run E on  $\langle x, y \rangle$ .
  - 2. If E's output is 1, accept; otherwise, reject."

## Problems in P (cont.)



#### Theorem (7.16)

Every context-free language belongs to P.

We assume that a CFG in Chomsky normal form is given for the context-free language.

```
D = "On input w = w_1 w_2 \cdots w_n,
    If w = \varepsilon and S \to \varepsilon is a rule, accept.
    For i = 1 to n.
   For each variable A.
        Is A \rightarrow b, where b = w_i, a rule?
5.
        If yes, add A to table(i, i).
    For l=2 to n.
      For i = 1 to n - l + 1,
8. Let i = i + l - 1,
9. For k = i to i - 1,
          For each rule A \rightarrow BC.
10.
11.
             If B \in table(i, k) and C \in table(k + 1, i),
             then put A in table(i, i).
12. If S \in table(1, n), accept; otherwise, reject."
```

#### The Hamiltonian Path Problem



- A Hamiltonian path in a directed graph is a directed path that goes through each node exactly once.
- **◈**  $HAMPATH = \{\langle G, s, t \rangle \mid G \text{ is a directed graph with a Hamiltonian path from <math>s \text{ to } t\}.$
- We can easily obtain an exponential time algorithm for HAMPATH.
- No one knows whether HAMPATH is solvable in polynomial time.
- However, *verifying* the existence of a Hamiltonian path may be much easier than *determining* its existence.

#### The Hamiltonian Path Problem (cont.)



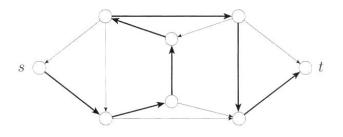


FIGURE **7.17**A Hamiltonian path goes through every node exactly once

Source: [Sipser 2006]

#### The Class NP



#### Definition (7.18)

A verifier for a language A is an algorithm V, where

$$A = \{w \mid V \text{ accepts } \langle w, c \rangle \text{ for some string } c\}.$$

The information represented by the symbol c is called a *certificate*, or *proof*, of membership in A.

A polynomial time verifier runs in polynomial time in the length of w.

#### Definition (7.19)

**NP** is the class of *polynomially verifiable* languages, i.e., languages that have polynomial time verifiers.

#### The Class NP (cont.)



#### Theorem (7.20)

A language is in NP iff it is decided by some nondeterministic polynomial time Turing machine.

- Let V be a verifier for  $A \in \mathsf{NP}$  that runs in time  $n^k$ . Construct a decider N for A as follows.
- $\bigcirc$  N = "On input w of length n:
  - 1. Nondeterministically select string c of length  $n^k$ .
  - 2. Run V on input  $\langle w, c \rangle$ .
  - 3. If V accepts, accept; otherwise, reject."

#### The Class NP (cont.)



- Let N be a nondeterministic decider for a language A that runs in time  $n^k$ . Construct a verifier V for A as follows.
- $\bigcirc V = \text{``On input } \langle w, c \rangle$ :
  - Simulate N on input w, treating each symbol of c as a description of the nondeterministic choice to make at each step.
  - 2. If this branch of *N*'s computation accepts, *accept*; otherwise, *reject*."

#### The Class NP (cont.)



#### Definition (7.21)

 $NTIME(t(n)) = \{L \mid L \text{ is a language decided by an } O(t(n)) \text{ time nondeterministic Turing machine} \}.$ 

#### Corollary (7.22)

 $NP = \bigcup_k NTIME(n^k).$ 

#### **Analyzing Algorithms for NP Problems**



- The class NP is insensitive to the choice of reasonable nondeterministic computational model.
- Like in the deterministic case, we use a high-level description to present a nondeterministic polynomial time algorithm.
  - Each stage of a nondeterministic polynomial time algorithm must have an obvious implementation in polynomial on a reasonable nondeterministic model.
  - 2. Every branch of its computation tree uses at most polynomially many stages.

#### **Problems in NP**



- A *clique* in an undirected graph is a subgraph, wherein every two nodes are connected by an edge.
- $\bigcirc$  A *k-clique* is a clique that contains *k* nodes.
- CLIQUE =  $\{\langle G, k \rangle \mid G \text{ is an undirected graph with a } k\text{-clique}\}.$

## Theorem (7.24)

CLIQUE is in NP.

## Problems in NP (cont.)



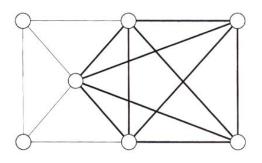


FIGURE **7.23** A graph with a 5-clique

Source: [Sipser 2006]

#### Problems in NP (cont.)



- $V = \text{"On input } \langle \langle G, k \rangle, c \rangle$ :
  - 1. Test whether c is a set of k nodes in G.
  - 2. Test whether G contains all edges connecting nodes in c.
  - 3. If both pass, accept; otherwise, reject."
- Alternatively,
  - N = "On input  $\langle G, k \rangle$ :
    - 1. Nondeterministically select a subset c of k nodes in G.
    - 2. Test whether G contains all edges connecting nodes in c.
    - 3. If yes, accept; otherwise, reject."

#### Problems in NP (cont.)



• SUBSET\_SUM =  $\{\langle S, t \rangle \mid S = \{x_1, \dots, x_k\}$  and for some  $\{y_1, \dots, y_l\} \subseteq S$ , we have  $\sum y_i = t\}$ .

#### Theorem (7.25)

#### SUBSET\_SUM is in NP.

- $\bigcirc V = \text{``On input } \langle \langle S, t \rangle, c \rangle$ :
  - 1. Test whether c is a collection of numbers that sum to t.
  - 2. Test whether S contains the numbers in c.
  - 3. If both pass, accept; otherwise, reject."
- Alternatively,  $N = \text{"On input } \langle S, t \rangle$ :
  - 1. Nondeterministically select a subset c of the numbers in S.
  - 2. Test whether c is a collection of numbers that sum to t.
  - 3. If yes, accept; otherwise, reject."



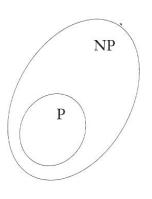
#### The Class co-NP



- The complements of CLIQUE and SUBSET\_SUM, namely CLIQUE and SUBSET\_SUM, are not obviously members of NP.
- Verifying that something is not present seems to be more difficult than verifying that it is present.
- The complexity class co-NP contains the languages that are complements of languages in NP.
- We do not know whether co-NP is different from NP.

#### P vs. NP





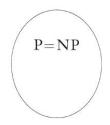


FIGURE **7.26**One of these two possibilities is correct

Source: [Sipser 2006]

### **NP-Completeness**



- The complexity of certain problems in NP is related to that of the entire class [Cook and Levin].
- If a polynomial time algorithm exists for any of the problems, all problems in NP would be polynomial time solvable.
- These problems are called NP-complete.
- $SAT = \{\langle \phi \rangle \mid \phi \text{ is a satisfiable Boolean formula}\}.$

# Theorem (7.27; Cook-Levin)

 $SAT \in P \text{ iff } P = NP.$ 

# **Polynomial Time Reducibility**



• When problem A is *efficiently* reducible to problem B, an efficient solution to B can be used to solve A efficiently.

# Definition (7.28)

A function  $f: \Sigma^* \longrightarrow \Sigma^*$  is a **polynomial time computable function** if some polynomial time Turing machine M, on every input w, halts with just f(w) on its tape.

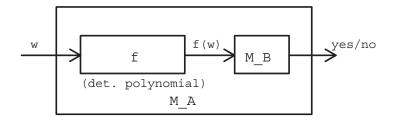


### Definition (7.29)

Language A is **polynomial time mapping reducible** (polynomial time reducible) to language B, written  $A \leq_P B$ , if there is a polynomial time computable function  $f: \Sigma^* \longrightarrow \Sigma^*$ , where for every w,

$$w \in A \iff f(w) \in B$$
.







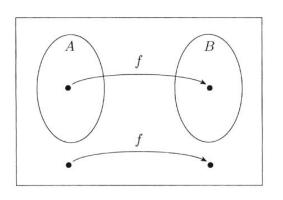


FIGURE **7.30** Polynomial time function f reducing A to B



### Theorem (7.31)

If  $A \leq_P B$  and  $B \in P$ , then  $A \in P$ .

- lacktriangle Let M be the polynomial time algorithm deciding B and f be the polynomial time reduction from A to B.
- N = "On input w:
  - 1. Compute f(w).
  - 2. Run M on input f(w) and output whatever M outputs."

# **Example Polynomial Time Reducibility**



A Boolean formula is in conjunctive normal form, called a CNF-formula, if it comprises several clauses connected with ∧s, as in

$$(x_1 \vee \overline{x_2} \vee \overline{x_3} \vee x_4) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6})$$

📀 It is a 3CNF-formula if all the clauses have three literals, as in

$$(x_1 \vee \overline{x_2} \vee \overline{x_3}) \wedge (x_3 \vee \overline{x_5} \vee x_6) \wedge (x_3 \vee \overline{x_6} \vee x_4) \wedge (x_4 \vee x_5 \vee x_6)$$

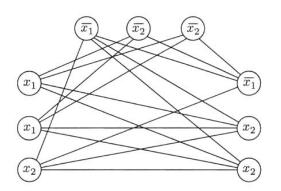
• 3SAT =  $\{\langle \phi \rangle \mid \phi \text{ is a satisfiable 3CNF-formula} \}$ .

# Theorem (7.32)

3SAT is polynomial time reducible to CLIQUE.

# **Example Polynomial Time Reducibility (cont.)**





#### FIGURE **7.33**

The graph that the reduction produces from  $\phi = (x_1 \lor x_1 \lor x_2) \land (\overline{x_1} \lor \overline{x_2} \lor \overline{x_2}) \land (\overline{x_1} \lor x_2 \lor x_2)$ 

### **NP-Completeness**



### Definition (7.34)

A language B is **NP-complete** if it satisfies two conditions:

- 1. B is in NP, and
- 2. every A in NP is polynomial time reducible to B (in which case, we say that B is NP-hard).

# Theorem (7.35)

If B is NP-complete and  $B \in P$ , then P = NP.

### Theorem (7.36)

If B is NP-complete and B  $\leq_{\mathrm{P}}$  C for some C  $\in$  NP, then C is NP-complete.

#### The Cook-Levin Theorem

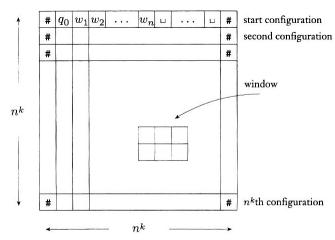


### Theorem (7.37)

#### SAT is NP-complete.

- $\bigcirc$  SAT is in NP, as a nondeterministic polynomial time TM can guess an assignment to a given formula  $\phi$  and accept if the assignment satisfies  $\phi$ .
- We next construct a polynomial time reduction for each language A in NP to SAT.
- The reduction takes a string w and produces a Boolean formula  $\phi$  that simulates the NP machine N for A on input w.
- Assume that N runs in time  $n^k$  (with some constant difference) for some k > 0.





# FIGURE **7.38** A tableau is an $n^k \times n^k$ table of configurations

Source: [Sipser 2006] Yih-Kuen Tsay (IM.NTU)



- lacktriangledown If N accepts,  $\phi$  has a satisfying assignment that corresponds to the accepting computation.
- lacktriangle If N rejects, no assignment satisfies  $\phi$ .
- ♦ Let  $C = Q \cup \Gamma \cup \{\#\}$ . For  $1 \le i, j \le n^k$  and  $s \in C$ , we have a variable  $x_{i,j,s}$ .
- Variable  $x_{i,j,s}$  is assigned 1 iff cell[i,j] contains an s.
- lacktriangle Construct  $\phi$  as  $\phi_{
  m cell} \wedge \phi_{
  m start} \wedge \phi_{
  m accept} \wedge \phi_{
  m move}$ , where  $\dots$ 
  - $\red$  Size of  $\phi_{\text{cell}}$ :  $O(n^{2k})$ .
  - % Size of  $\phi_{\text{start}}$ :  $O(n^k)$ .
  - $\bullet$  Size of  $\phi_{\text{accept}}$ :  $O(n^{2k})$ .
  - % Size of  $\phi_{\text{move}}$ :  $O(n^{2k})$ .



$$\phi_{\text{cell}} = \bigwedge_{1 \leq i,j \leq n^k} \left[ \left( \bigvee_{s \in C} x_{i,j,s} \right) \wedge \left( \bigwedge_{s,t \in C, s \neq t} (\overline{x_{i,j,s}} \vee \overline{x_{i,j,t}}) \right) \right] .$$

$$\phi_{\text{start}} = \begin{array}{c} x_{1,1,\#} \wedge x_{1,2,q_0} \wedge \\ x_{1,3,w_1} \wedge x_{1,4,w_2} \wedge \cdots \wedge x_{1,n+2,w_n} \wedge \\ x_{1,n+3,\sqcup} \wedge \cdots \wedge x_{1,n^k-1,\sqcup} \wedge x_{1,n^k,\#} \end{array}.$$

$$\phi_{\text{accept}} = \bigvee_{1 \le i, j < p^k} x_{i,j,q_{\text{accept}}} .$$

$$\phi_{\text{move}} = \bigwedge_{1 \le i \le (n^k - 1), 2 \le i \le (n^k - 1)} (\text{window } (i, j) \text{ is legal}).$$



• Assume that  $\delta(q_1, a) = \{(q_1, b, R)\}$  and  $\delta(q_1, b) = \{(q_2, c, L), (q_2, a, R)\}.$ 

(a)	a	$q_1$	Ъ	
	$q_2$	a	С	

(b) 
$$\begin{array}{c|cccc} a & q_1 & b \\ \hline a & a & q_2 \end{array}$$

(c) 
$$\begin{array}{c|cccc} a & a & q_1 \\ \hline a & a & b \end{array}$$

(e) 
$$\begin{array}{c|cccc} a & b & a \\ \hline a & b & q_2 \end{array}$$

FIGURE **7.39** Examples of legal windows



• Assume that  $\delta(q_1, a) = \{(q_1, b, R)\}$  and  $\delta(q_1, b) = \{(q_2, c, L), (q_2, a, R)\}.$ 

(b) 
$$\begin{array}{c|cccc} a & q_1 & b \\ \hline q_1 & a & a \end{array}$$

(c) 
$$\begin{array}{c|cccc} b & q_1 & b \\ \hline q_2 & b & q_2 \end{array}$$

FIGURE **7.40** Examples of illegal windows



lacktriangle The condition "window (i,j) is legal" can be expressed as

$$\bigvee_{\substack{a_1, \cdots, a_6 \text{ legal}}} \frac{\left(x_{i,j-1,a_1} \land x_{i,j,a_2} \land x_{i,j+1,a_3} \land x_{i+1,j-1,a_4} \land x_{i+1,j,a_5} \land x_{i+1,j+1,a_6}\right)}{x_{i+1,j-1,a_4} \land x_{i+1,j,a_5} \land x_{i+1,j+1,a_6}}$$

### **Another NP-Complete Problem**



#### **Theorem**

#### 3SAT is NP-complete.

- The proof of the Cook-Levin theorem can be modified so that the Boolean formula involved is in conjunctive normal form.
- → A CNF-formula can be converted in polynomial time to a 3CNF-formula (with a length polynomially bounded in the length of the CNF-formula).
- If a clause contains I literals  $(a_1 \lor a_2 \lor \cdots \lor a_I)$ , we can replace it with the I-2 clauses

$$(a_1 \vee a_2 \vee z_1) \wedge (\overline{z_1} \vee a_3 \vee z_2) \wedge (\overline{z_2} \vee a_4 \vee z_3) \wedge \cdots \wedge (\overline{z_{l-4}} \vee a_{l-2} \vee z_{l-3}) \wedge (\overline{z_{l-3}} \vee a_{l-1} \vee a_l)$$

### **NP-Complete Problems**



#### Theorem

CLIQUE is NP-complete.

CLIQUE is in NP and  $3SAT \leq_{P} CLIQUE$ .



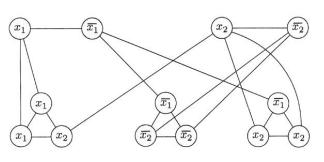
- A *vertex cover* of an undirected graph *G* is a subset of the nodes where every edge of *G* touches one of those nodes.
- ◈  $VERTEX\_COVER = \{\langle G, k \rangle \mid G \text{ is an undirected graph that has a } k\text{-node vertex cover}\}.$

#### **Theorem**

VERTEX\_COVER is NP-complete.

 $\bullet$  We show that  $3SAT \leq_{P} VERTEX\_COVER$ .





#### **FIGURE 7.45**

The graph that the reduction produces from  $\phi = (x_1 \lor x_1 \lor x_2) \land (\overline{x_1} \lor \overline{x_2} \lor \overline{x_2}) \land (\overline{x_1} \lor x_2 \lor x_2)$ 

Source: [Sipser 2006]

Note: Let k be m + 2l, where m is the number of variables and l the number of clauses in  $\phi$ .



#### **Theorem**

HAMPATH is NP-complete.

We show that  $3SAT \leq_P HAMPATH$ .



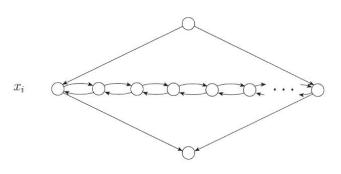


FIGURE **7.47** Representing the variable  $x_i$  as a diamond structure



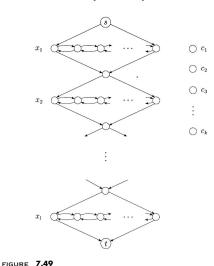


 $c_j$ 

# FIGURE 7.48

Representing the clause  $c_j$  as a node





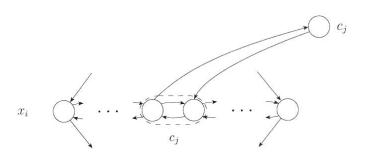
The high-level structure of G





FIGURE **7.50**The horizontal nodes in a diamond structure





# FIGURE **7.51**

The additional edges when clause  $c_j$  contains  $x_i$ 



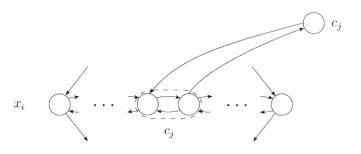
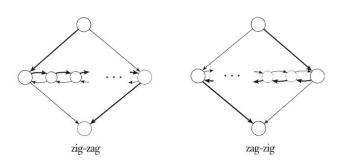


FIGURE **7.52** The additional edges when clause  $c_j$  contains  $\overline{x_i}$ 





#### FIGURE **7.53**

Zig-zagging and zag-zigging through a diamond, as determined by the satisfying assignment



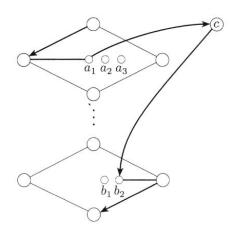


FIGURE **7.54**This situation cannot occur



Let UHAMPATH be the undirected version of the Hamiltonian path problem HAMPATH.

#### Theorem

#### UHAMPATH is NP-complete.

- An input  $\langle G, s, t \rangle$  for *HAMPATH* is mapped to  $\langle G', s', t' \rangle$  for *UHAMPATH* as follows.
- **Solution** Each node u of G, except for s and t, is replaced by a triple of nodes  $u^{\text{in}}$ ,  $u^{\text{mid}}$ , and  $u^{\text{out}}$  in G'.
- lacksquare Nodes s and t are replaced by node  $s^{ ext{out}} = s'$  and  $t^{ ext{in}} = t'$ .
- **©** Edges connect  $u^{\text{mid}}$  with  $u^{\text{in}}$  and  $u^{\text{out}}$ .
- lacktriangle An edge connects  $u^{ ext{out}}$  and  $v^{ ext{in}}$  if (u,v) is an edge of G.



• SUBSET\_SUM =  $\{\langle S, t \rangle \mid S = \{x_1, \dots, x_k\}$  and for some  $\{y_1, \dots, y_l\} \subseteq S$ , we have  $\sum y_i = t\}$ .

#### **Theorem**

SUBSET\_SUM is NP-complete.

• We show that  $3SAT \leq_P SUBSET\_SUM$ .



	1	2	3	4		l	$c_1$	$c_2$		$c_k$
$y_1$	1	0	0	0		0	1	0		0
$z_1$	1	0	0	0		0	0	0		0
$y_2$		1	0	0		0	0	1		0
$z_2$		1	0	0		0	1	0		0
$y_3$			1	0		0	1	1		0
$z_3$			1	0		0	0	0		1
										5.00
					٠.	:	:		:	:
						•				
$y_l$						1	0	0		0
$z_l$						1	0	0		0
$g_1$							1	0		0
$h_1$							1	0		0
$g_2$								1		0
$h_2$								1		0
-										
:										
•									•	•
$g_k$										1
$h_k$										1
=	1	1	1	1		1	3	3		3
·	1 1	1	1	1		1	1 3	0		0

FIGURE **7.57**Reducing 3SAT to SUBSET-SUM

